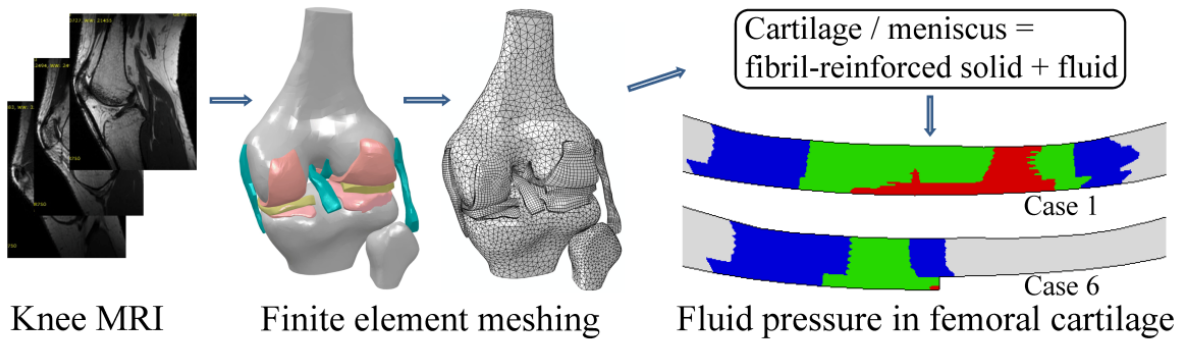


# Focal Cartilage Defect Compromises Fluid-pressure Dependent Load Support in the Knee Joint

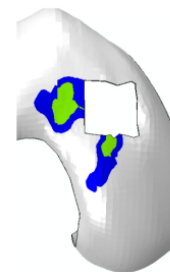
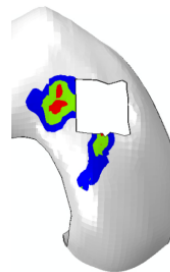
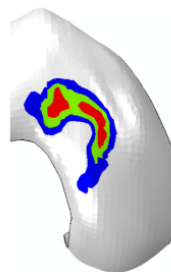
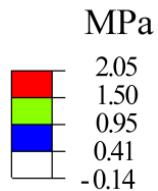
Y. Dabiri and L. P. Li \*

Department of Mechanical and Manufacturing Engineering, University of Calgary, Alberta, Canada

## Graphical Abstract



Fluid pressure in  
medial condyle



1 **Abstract**

2 A focal cartilage defect involves tissue loss or rupture. Altered mechanics in the affected joint  
3 may play an essential role in the onset and progression of osteoarthritis. The objective of the  
4 present study was to determine the compromised load support in the human knee joint during  
5 defect progression from the cartilage surface to the cartilage-bone interface. Ten normal and  
6 defect cases were simulated with a previously tested 3D finite element model of the knee. The  
7 focal defects were considered in both condyles within high load-bearing regions. Fluid  
8 pressurization, anisotropic fibril-reinforcement, and depth-dependent mechanical properties were  
9 considered for the articular cartilages and menisci. The results showed that a small cartilage  
10 defect could cause 25% reduction in the load support of the knee joint due to a reduced capacity  
11 of fluid pressurization in the defect cartilage. A partial-thickness defect could cause a fluid  
12 pressure decrease or increase in the *remaining underlying* cartilage depending on the defect  
13 depth. A cartilage defect also increased the shear strain at the cartilage-bone interface, which was  
14 more significant with a full-thickness defect. The effect of cartilage defect on the fluid  
15 pressurization also depended on the defect sites and contact conditions. In conclusion, a focal  
16 cartilage defect causes a fluid-pressure dependent load reallocation and a compromised load  
17 support in the joint, which depend on the defect depth, site and contact condition.

18

19 Keywords: Articular cartilage mechanics, Cartilage focal defect, Finite element analysis, Fluid  
20 pressure, Knee joint mechanics

1 **Nomenclature**

2 For the simplicity of description, these terms are defined with specific meaning. Force control:  
3 when a force is applied at a constant rate followed by creep; Displacement control: when a  
4 displacement is applied at a constant rate followed by relaxation; Short-term: the phase during  
5 which a force or displacement is being applied; Long-term: the time when significant relaxation  
6 or creep has occurred.

7

8 **1. Introduction**

9 Osteoarthritis (OA) is a leading cause of disability among the elderly, and the most prevalent  
10 joint disease in the USA [1]. OA may start from the surface of articular cartilage, and attack  
11 deeper layers until it reaches the bone [2,3]. Once the deep zone of cartilage is damaged, bone is  
12 exposed to the joint contact, leading to increased friction and reduced mobility of the joint. The  
13 knee joint has the highest prevalence of OA [4,5]. The cost of knee OA has been high and is  
14 expected to remain high for at least two decades because of the aging of our population [6].

15 OA is associated with perturbations in the composition and structure of articular cartilage  
16 [7,8,9]. Cartilage is composed of a collagen fiber network, proteoglycan matrix and a fluid [10].  
17 For the convenience of description, cartilage is often divided into 3 distinct zones: superficial,  
18 middle, and deep zones [10]. The collagen fibers are mostly parallel to the surface in the  
19 superficial zone, randomly-oriented in the middle zone, and perpendicular to the cartilage-bone  
20 interface in the deep zone. These differences in fiber orientation and other zonal differences play  
21 important roles in the load support of cartilage and in the preservation of tissue integrity [3].

22 Fluid pressurization in cartilages and menisci is believed to play an essential role in the  
23 mechanics of the knee. It lubricates the joint and prevents cartilage matrix from excessive  
24 loading [11-13]. Fluid pressure contributes to load support of the joint at different scales during

1 different loading phases [14-16]. For example, the load applied to the knee joint during running  
2 produces a high fluid pressure whereas prolonged standing causes a continuous reduction in fluid  
3 pressure in cartilage and menisci. Substantial loading is transferred to the tissue matrices when  
4 the fluid pressure diminishes. The alteration in fluid pressurization is one of the symptoms in  
5 early OA [17,18]. However, the change of fluid pressure in situ as a function of loading time or  
6 disease process has not been adequately quantified in the literature due to experimental and  
7 modeling difficulties. In a recent study, the contact mechanics of the knee joint was investigated  
8 in the presence of cartilage degeneration with alterations in mechanical properties including fiber  
9 orientation [19]. That study, however, only took into consideration the short-term behavior of the  
10 knee joint. Moreover, it did not consider the fluid pressure in menisci and the full depth-  
11 dependent properties of cartilage.

12 Parameters such as defect depth, size, location, and altered tissue properties may influence  
13 the interplay between defect progression and fluid-pressure dependent load support [20-23].  
14 Experimental studies encounter difficulties when investigating the effect of each of these  
15 parameters, because the consequence of each parameter is difficult to differentiate as they often  
16 evolve simultaneously. Numerical simulation is advantageous in determining the role of each  
17 parameter in the progression of OA [24,25]. The effect of defect size in the mechanics of the  
18 knee joint was reported using an elastic model [26,27] and thus the fluid pressure was not  
19 considered. The mechanics of cartilage defect has also been investigated with explants  
20 geometries [28] instead of realistic knee contact geometries.

21 The defect depth is a criterion to categorize the severity of a cartilage defect [20, 22]. For  
22 example, the International Cartilage Repair Society has a classification system for cartilage  
23 defect which is based on the depth-wise progression of the defect from the cartilage surface to

1 the cartilage-bone interface [20]. Therefore, the objective of the present study was to determine  
2 the alteration in fluid pressurization in articular cartilage and thus the compromised load support  
3 in the human knee joint during the depth-wise defect progression. We used realistic knee  
4 geometry reconstructed from magnetic resonance imaging (MRI) to clarify both short- and long-  
5 term load support of the knee in order to understand the degenerative mechanics of the joint.

6

## 7 **2. Methods**

### 8 **2.1. Model Construction and Mesh**

9 MRI of sagittal planes was obtained from a normal right knee of a male subject who was 27  
10 years old with no injury. High resolution steady-state free precision sequences were used  
11 (distance between 2 neighboring images: 0.6 mm; size 22×22 cm, 512×512 pixels). The  
12 geometry of the knee joint was reconstructed using Mimics (Materialise, Leuven, Belgium). A  
13 short description of the method can be found in a review paper [29]. Briefly, the contrast of  
14 images was adjusted to improve appearance after the images were imported into Mimics.  
15 Segmentation was then performed to identify the boundaries for each tissue. A tool called “3D  
16 live wire” was used to identify the bone boundaries, while the tools “thresholding” and manual  
17 editing were used to identify the boundaries of cartilages and menisci. The geometries initially  
18 constructed were then refined using smoothing and wrapping tools to ensure the tissues were  
19 segmented precisely. Finally, an automatic smoothing procedure was performed to eliminate  
20 minor artifacts.

21 A finite element mesh was then generated, comprising of femur, femoral cartilage, menisci,  
22 tibial cartilage, and tibia [30,31]. Hexahedral pore pressure elements were used for the  
23 cartilaginous tissues, including 37537 elements for cartilages and 3424 for menisci. In order to

1 model the depth-wise defect progression, eight layers of elements were used for the femoral  
2 cartilage, resulting in thin elements (~0.25mm thick for most of these elements; smallest  
3 thickness 0.063mm, normally at the edge which is unloaded region; largest length 2.81mm).

## 4 **2.2. Modeling Approaches and Boundary Conditions**

5 The constitutive laws for the cartilaginous tissues, including all cartilages and menisci, were  
6 custom-coded with a user defined material model (UMAT in ABAQUS 6.10, Simulia,  
7 Providence, USA). The cartilaginous tissues were considered as fluid-saturated materials  
8 reinforced by a nonlinear fiber network, using a previously developed fibril-reinforced model of  
9 cartilage [14]. This previously validated constitutive model was used because it highlights fluid-  
10 pressure induced strong creep and relaxation [32,33]. The nonlinear tensile properties of the fiber  
11 network were explicitly implemented to account for the interplay of the nonlinear fibril  
12 reinforcement and fluid pressurization in the tissue [14]. The depth-varying tissue properties and  
13 fiber orientation of the femoral cartilage were also incorporated in the joint model as per a recent  
14 study [34]. The femur and tibia were modeled as linearly elastic, because the stiffness of the  
15 bones is 3 orders of magnitude higher than that of the cartilaginous tissues (thus their  
16 nonlinearity and poromechanical response are negligible when compared to that of cartilage and  
17 meniscus). The material properties are summarized in Table 1.

18 The contact mechanics of the knee was modeled using the surface-to-surface contact  
19 approach in ABAQUS. The penalty method was used to enforce the contact constraint. Six  
20 contact pairs were defined with 3 on the medial and 3 on the lateral sides. They modeled the  
21 actual contacts between femoral and tibial cartilage, femoral cartilage and menisci, and tibial  
22 cartilage and menisci. The femoral cartilage was fixed to the femur, and the tibial cartilage was

1 fixed to the tibia using the TIE CONTACT in ABAQUS. Menisci were fixed to the tibia at both  
2 ends to mimic the constraints applied by the posterior and anterior horns.

3 The boundary conditions were the same for all cases: the bottom of the tibia was fixed, and  
4 the top of femur was restrained from horizontal displacements. These constraints confined rigid-  
5 body motions. The fluid pressure boundary conditions were given as follows: the fluid pressure  
6 was zero on the free articular surface, whereas no fluid flow was assumed to cross the contact  
7 surface.

8 A comprehensive numerical verification has been previously performed on the joint model,  
9 including mesh convergence, sensitivity of material properties and parameters of numerical  
10 procedures [30,31,34].

### 11 **2.3. Case Studies**

12 Ten cases were investigated with finite element simulations in order to determine the effect of  
13 the defect site and depth, defect contact condition and loading protocol (Table 2).

14 Cartilage defects can be developed at different sites [23,35]. We focused on the depth-wise  
15 progression of defect in the medial condyle as it is more vulnerable to lesions than the lateral  
16 condyle [36-38]. Three types of cartilage defects were considered: (1) *Superficial defect* refers to  
17 tissue loss in the superficial zone only; (2) *Middle defect* refers to the loss in the superficial and  
18 middle zones; and (3) *Deep defect* refers to the complete loss in all 3 zones (full-thickness  
19 defect). A superficial defect in the lateral condyle was also examined for comparison with that in  
20 the medial condyle. The defect size was approximately  $12 \times 12 \text{ mm}^2$  ( $= 144 \text{ mm}^2$ , Fig. 1), which  
21 was close to the mean defect size of  $210 \text{ mm}^2$  observed from 1000 knee arthroscopies [39].

22 The contact conditions of the defect to its mating surface were also modeled. Although the  
23 tissue lost its thickness with the 3 types of defects, it is still possible for partial contact to occur

1 between the defect and its mating surface, as a result of very low stiffness and small thickness of  
2 cartilage (2-3mm tissue thickness comparing to  $12 \times 12 \text{mm}^2$  defect size). Patients tend to adjust  
3 their gait to ease pains when OA develops [40]. It is therefore also possible the defect would lose  
4 its contact with its mating surface. Hence, both scenarios, defect contact and non-contact, were  
5 modeled respectively. The extent of contact is determined automatically in ABAQUS as per the  
6 stress and strain state.

7 Two loading conditions were considered: (1) Ramp compression of  $500 \mu\text{m}$  applied in 5s  
8 followed by relaxation; and (2) Ramp compressive force of 387.76 N applied in 5s followed by  
9 creep. This force was taken to be the same as obtained at the end of the  $500 \mu\text{m}$  compression.  
10 The relaxation loading was used to understand the fundamental mechanism of the mechanical  
11 function of the knee joint, because the results from stress relaxation are easier to interpret. Creep  
12 loading was also simulated because creep is often considered a more realistic form of joint  
13 loading [41]. In either case, the compressive displacement or force was applied at the top of the  
14 femur while the knee was in full extension.

15

### 16 **3. Results**

17 For the same knee compression (displacement control), a decreased fluid pressure was observed  
18 in the *remaining underlying* cartilage in the case of the superficial defect as compared to the  
19 normal case (Fig. 1b vs 1a, at 5s). However, a raised pressure in the underlying cartilage was  
20 seen in the case of middle defect (Fig. 1c vs 1a). In these defect cases (Cases 2-4), a defect  
21 contact was assumed. On the other hand, the fluid pressure in the defective cartilage decreased  
22 with defect depth as expected (results not shown), when the defective tissue lost contact with its  
23 mating surface (Cases 6 & 7).



1 The short-term *surface* fluid pressure (Fig. 2a,b,c,d) in the vicinity of the defect region (in  
2 the unaffected tissue) decreased with the defect depth; a redistribution of the fluid pressure was  
3 more obvious when the defect was progressed to full-thickness. The long-term fluid pressure  
4 (Fig. 2e,f,g,h) experienced less alteration with the defect. Surprisingly, the alteration in the long-  
5 term pressure distribution was slightly more obvious with the middle defect (Fig. 2g).  
6 Furthermore, the fluid pressure in the lateral condyle was not obviously affected by the defect in  
7 the medial condyle (Fig. 1). Therefore, the results are shown for the medial condyle only in Fig.  
8 2. The depth variation of the pressure was also altered with the defect (Fig. 3).

9 The reaction force of the affected joint could increase above or decrease below the normal  
10 value depending on the defect contact condition and relaxation time; the peak load in the joint  
11 varied over 25% with the cases (Fig. 4, displacement control). When the defect contact indeed  
12 occurred (Cases 2-4), the short-term reaction force was the highest in the case of middle defect,  
13 but the long-term reaction force reduced with the defect depth (Fig. 4a). When the defect contact  
14 did not occur (Cases 6-7), the reaction force was virtually the same for the 2 affected cases,  
15 which was also close to the reaction force predicted for Case 4 (Fig. 4b). These results also  
16 indicated that fluid pressure in cartilages and menisci could support roughly 2/3 of the joint load  
17 (Fig 4, short-term compares to long-term response).

18 A cartilage defect in the lateral condyle altered the fluid pressure more than a defect in the  
19 medial condyle (Fig. 5a,b,c). Two high pressure regions were produced in the lateral condyle due  
20 to the defect in that condyle (Fig.5c) as compared to one high pressure region in the normal case  
21 (Fig. 5a). For the cases of force control (Fig. 5d,e,f), the increase in the fluid pressure in the  
22 remaining underlying cartilage was more obvious than that of the displacement control,  
23 especially for the defect in the lateral condyle (Fig. 5f vs 5e or 5d).

1        The shear strain component in the cartilage-bone interface increased with the defect depth  
2 (Fig. 6). The superficial defect did not cause noticeable change in the shear strain. However, the  
3 middle and deep defects produced obvious changes in the shear strain both in magnitude and  
4 distribution. The location of peak shear strain moved towards the rim of the defect as the defect  
5 advanced to the deeper layer.

6

#### 7 **4. Discussion**

8        A redistribution of the fluid pressure has been observed in the defect region and its vicinity (Figs.  
9 1-3, 5-6), resulting in a load reallocation in the joint during fluid pressurization. Generally  
10 speaking, the load support of articular cartilage was weakened in the vicinity of the defect due to  
11 a reduced fluid pressure support in the tissue (Fig. 2). The remaining underlying cartilage at the  
12 defect, however, could be more pressurized (Fig. 1c vs 1a), when the defect was in contact with  
13 its mating surface. In the case of displacement control, a weakened load support meant a  
14 decreased fluid pressure in the vicinity of the defect, as compared to normal joint. Furthermore,  
15 the fluid pressure decreased with the defect depth (Fig. 2). In the case of force control, a  
16 weakened capacity in fluid pressurization required increased compression to raise the fluid  
17 pressure in the vicinity of the defect (Fig. 5d,e,f), in order to maintain the load support in the  
18 joint. Therefore, the creep and relaxation responses were reasonably related although they  
19 appeared different.

20        The load support of the knee joint, as indicated by the reaction force under a given  
21 compression, could be lowered by 25% due to the focal defect (Fig. 4). This means if the person  
22 is to maintain the same knee compression in the affected joint as in the normal joint, he/she must  
23 shift some load from the affected leg to the normal leg. The normal joint may then be somewhat

1 overloaded. Otherwise, the affected joint must be subjected to a substantially larger knee  
2 compression in order to maintain the same level load support in both joints. Therefore, cartilages  
3 and menisci in the affected joint may experience abnormally large deformation. Both scenarios  
4 may have detrimental impact on the future joint health.

5       The reduced capacity in fluid pressurization was not obviously seen in the case of creep  
6 (Fig. 5d,e,f) due to the same force being maintained in the normal and affected joints, as  
7 mentioned above. In other words, larger compressive displacement must be produced in the  
8 affected joint in order to support the same reaction force as that of the normal joint. In fact, a  
9 higher peak fluid pressure was seen in creep than in relaxation for the normal joint (Fig. 5d vs  
10 5a), although the same force was applied in 5s in both creep and relaxation (Fig. 7). This  
11 phenomenon can be explained by the overall nonlinear response of the knee [42] and strain-rate  
12 dependent response of articular cartilage [32]. For the case of relaxation, the compression rate  
13 (displacement/time) was constant, corresponding to a lower loading rate (force/time) at the  
14 beginning and a higher loading rate at the end of the loading phase (Fig. 7). For the case of creep,  
15 however, the loading rate was constant during the loading phase, resulting in a higher  
16 compression rate at the beginning (but a lower compression rate at the end) of the loading phase  
17 as compared to the case of relaxation. The faster early compression produced a higher fluid  
18 pressure (as compared to the case of relaxation) during the early loading phase due to the strain-  
19 rate dependence of cartilage [32]. This higher fluid pressure did not have sufficient time to  
20 diminish although the compression was slowed down in the late loading phase. Therefore, we see  
21 a higher peak fluid pressure in creep than in relaxation even though the reaction forces were  
22 identical at the end of the loading phase.

1 As indicated above, the creep behavior is often more difficult to interpret than the relaxation  
2 behavior. Furthermore, creep modeling requires much more computing time than relaxation  
3 modeling (it took one month or longer computing time for a complete creep simulation). This is  
4 because a faster increasing fluid pressure in creep results in a slower numerical convergence and  
5 secondly, creep takes much longer time to reach its equilibrium [33]. On the other hand, a creep  
6 response can be qualitatively derived from the relaxation behavior, although they may look  
7 different (a mapping exists between the creep and relaxation responses). Therefore, the present  
8 study focused on the stress relaxation behavior of the knee joint while the creep response was  
9 also modeled.

10 The contact condition at the defect made a substantial difference in the load support of the  
11 knee joint although the defect size was small (Fig. 4). The remaining underlying cartilage at the  
12 defect still supported substantial loading when it was in contact with its mating surface (Fig. 4a,  
13 Cases 2 & 3 compared to Case 1). The reaction force in the affected knee was considerably  
14 lower, if the remaining underlying cartilage lost contact with its mating surface, even if the rest  
15 of the normal surfaces remained in contact (Fig. 4, Cases 6 & 7 vs Cases 2 & 3). Therefore, a  
16 slight gait adjustment by the OA patient would substantially change the load support in the joint.  
17 This result may be practically interesting, because people tend to adjust their gait to reduce pains  
18 after they developed OA [40].

19 The effect of cartilage defect on the fluid pressurization was also dependent on the defect  
20 site (Fig. 5). More results for the medial defect are presented in this paper, because the chosen  
21 region in the medial condyle is considered more vulnerable to the development of lesions [36-  
22 38].

1       The effect of cartilage defect on the fluid pressurization diminished with relaxation and  
2 creep time (Fig. 2e,f,g,h; Fig. 4), which was expected as fluid pressurization in the tissue decays  
3 with stress relaxation and creep. However, one would still expect the adverse impact of the  
4 defect on the long-term stresses and strains of the tissue matrix, as we see that the shear strains in  
5 the region increased with the defect depth (Fig. 6). This escalated shear strain may increase the  
6 chances of OA following a microfracture at the cartilage-bone interface [43-45], which agrees  
7 with previous studies on the importance of the tissue integrity [46,47]. The experimental  
8 measurements also showed time-dependent strains in the knee joint [48,49]. The time dependent  
9 mechanical response associated with fluid pressurization has gained increased attention in the  
10 joint modeling [49-54]. Published studies include modeling human knee joints using simplified  
11 axisymmetric geometries to reduce convergence difficulties and computing time [50,51]. The  
12 fluid pressure in human hip joints was also investigated [52,53]. Cartilage repair with a metal  
13 implant was simulated using a sheep knee model with a 3D simplified geometry and no meniscus  
14 [54]. All these studies have demonstrated, from different aspects, the essential role of fluid  
15 pressurization in the mechanical function of the normal and repaired joints.

16       The effects of focal cartilage defect on fluid pressure load support investigated here were  
17 somewhat similar to that of cartilage degeneration investigated previously [55]. Both caused the  
18 reduced capacity of fluid pressurization in the vicinity of the affected tissue; and the reductions  
19 were positively correlated to the depth of the defect or degeneration. However, a partial cartilage  
20 defect did not necessarily cause a fluid pressure reduction in the remaining underlying layers  
21 (Figs. 1 & 5f), as it occurred in cartilage degeneration. For example, when compared to the  
22 normal case, a degeneration advancing to the middle zone caused a reduced fluid pressure in the  
23 deep zone [55], but a defect advancing to the middle zone produced a higher fluid pressure in the

1 deep zone (Fig. 1c). Furthermore, a substantially compromised load support in the knee joint was  
2 found in the present study on the cartilage defect.

3 The major limitation of the present study was the use of simple physiological loadings,  
4 which were relatively small ( $< 400$  N) and slowly applied to the joint (5 s). These loading  
5 protocols were used to avoid slow numerical convergence so the computing time was limited to  
6 approximately 2 weeks in most cases (4 CPUs on WestGrid high performance computing  
7 network). While the fluid pressures obtained were still low (0-4 MPa), they were close to the  
8 measured contact pressures (0-10 MPa) in the knee joints. Therefore, the results presented here  
9 are still practically interesting. Furthermore, the qualitative results would generally remain the  
10 same, if larger and faster loadings were used. On the other hand, varus/valgus rotations,  
11 flexion/extension, and internal/external torsions of the knee would substantially affect the contact  
12 mechanics of the knee joint, but the relevant topics were not within the scope of the present  
13 study. It must also be pointed out that the errors from geometrical reconstructions of the knee  
14 were not assessed in the present study. However, we believe such errors are minimal when  
15 advanced software such as Mimics is used with maximum care. The sensitivity of geometrical  
16 constructions has been studied by other groups [56].

17 In summary, focal cartilage defects substantially reduce the capacity of fluid pressurization  
18 in articular cartilage and subsequently compromise the load support in the joint. A redistribution  
19 of the fluid pressure occurs in the defect region and its vicinity, resulting in a load reallocation in  
20 the joint. The effect of cartilage defect on the load support of the joint diminished with relaxation  
21 and creep time, indicating the important role of the fluid pressurization that could support 2/3 of  
22 the joint load. Cartilage defects also increased the shear strain at the cartilage-bone interface.  
23 Furthermore, load-bearing characteristics of the knee joint deteriorate with the defect depth of

1 articular cartilage. The altered mechanics of the knee are also influenced by the sites of defects  
2 and the contact conditions in the defect regions. This compromised fluid-pressure dependent load  
3 support in the knee due to focal cartilage defect may have an implication on the onset and  
4 progression of OA.

5

6

7 **Acknowledgments**

8 **Funding:** the Natural Sciences and Engineering Research Council of Canada

9 **Conflict of Interests:** the authors have no conflict of interest to declare

10 **Ethical approval:** E-22593, University of Calgary, for the use of MRI of human subjects

## References

- [1] Peat G, Mccarney R, Croft P. Knee pain and osteoarthritis in older adults: a review of community burden and current use of primary health care. *Ann Rheum Dis* 2001; **60**: 91-97.
- [2] Andriacchi TP, Mündermann A, Smith RL, Alexander EJ, Dyrby CO, Koo S. A framework for the in vivo pathomechanics of osteoarthritis at the knee. *Ann Biomed Eng* 2004; **32**: 447-457.
- [3] Saarakkala S, Julkunen P, Kiviranta P, Mäkitalo J, Jurvelin JS, Korhonen RK. Depth-wise progression of osteoarthritis in human articular cartilage: investigation of composition, structure and biomechanics. *Osteoarthritis Cartilage* 2010; **18**: 73-81.
- [4] Felson DT, Zhang Y. An update on the epidemiology of knee and hip osteoarthritis with a view to prevention. *Arthritis Rheum* 1998; **41**: 1343-1355.
- [5] Oliveria SA, Felson DT, Reed JI, Cirillo PA, Walker AM. Incidence of symptomatic hand, hip, and knee osteoarthritis among patients in a health maintenance organization. *Arthritis Rheum* 1995; **38**: 1134-1141.
- [6] Lethbridge-Cejku M, Schiller JS, Bernadel L. Summary health statistics for U.S. adults: National Health Interview Survey, 2002. *Vital Health Stat* 2004; **10**: 1-151.
- [7] Guilak F, Ratcliffe A, Lane N, Rosenwasser MP, Mow VC. Mechanical and biochemical changes in the superficial zone of articular cartilage in canine experimental osteoarthritis. *J Orthop Res* 1994; **12**: 474-484.
- [8] Mcdevitt CA, Muir H. Biochemical changes in the cartilage of the knee in experimental and natural osteoarthritis in the dog. *J Bone Joint Surg Br* 1976; **58**: 94-101.
- [9] Stockwell RA, Billingham ME, Muir H. Ultrastructural changes in articular cartilage after experimental section of the anterior cruciate ligament of the dog knee. *J Anat* 1983; **136**: 425-439.



- [10] Mow V, Ratcliffe A. Structure and function of articular cartilage and meniscus. In: Mow V, Hayes W (eds), *Basic orthopaedic biomechanics*. 2nd ed Lippincott-Raven, Philadelphia, 1997; 113-177.
- [11] Ateshian GA, Hung CT. Patellofemoral joint biomechanics and tissue engineering. *Clin Orthop Relat Res* 2005; **436**: 81-90.
- [12] Mccutchen CW. The frictional properties of animal joints. *Wear* 1962; **5**: 1-17.
- [13] Walker PS, Dowson D, Longfield MD, Wright V. "Boosted lubrication" in synovial joints by fluid entrapment and enrichment. *Ann Rheum Dis* 1968; **27**: 512-520.
- [14] Li LP, Buschmann MD, Shirazi-Adl A. The role of fibril reinforcement in the mechanical behavior of cartilage. *Biorheology* 2002; **39**: 89-96.
- [15] Mow VC, Holmes MH, Lai WM. Fluid transport and mechanical properties of articular cartilage: a review. *J Biomech* 1984; **17**: 377-394.
- [16] Vahdati A, Wagner DR. Finite element study of a tissue-engineered cartilage transplant in human tibiofemoral joint. *Comput Methods Biomech Biomed Eng* 2012; **15**: 1211-1221.
- [17] Maroudas A. Balance between swelling pressure and collagen tension in normal and degenerate cartilage. *Nature* 1976; **260**: 808-809.
- [18] Venn M, Maroudas A. Chemical composition and swelling of normal and osteoarthrotic femoral head cartilage. I. Chemical composition. *Ann Rheum Dis* 1977; **36**: 121-129.
- [19] Mononen ME, Mikkola MT, Julkunen P, Ojala R, Nieminen MT, Jurvelin JS, Korhonen RK. Effect of superficial collagen patterns and fibrillation of femoral articular cartilage on knee joint mechanics-a 3D finite element analysis. *J Biomech* 2012; **45**: 579-587.
- [20] Brittberg M, Winalski CS. Evaluation of cartilage injuries and repair. *J Bone Joint Surg Am* 2003; **85-A** Suppl 2: 58-69.
- [21] Guettler JH, Demetropoulos CK, Yang KH, Jurist KA. Osteochondral defects in the human knee: influence of defect size on cartilage rim stress and load redistribution to surrounding cartilage. *Am J Sports Medicine* 2004; **32**: 1451-1458.

- [22] Noyes FR, Stabler CL. A system for grading articular cartilage lesions at arthroscopy. *Am J Sports Med* 1989; **17**: 505-513.
- [23] Seedholm BB, Takeda T, Tsubuku M, Wright V. Mechanical factors and patellofemoral osteoarthritis. *Ann Rheum Dis* 1979; **38**: 307-316.
- [24] Haut Donahue TL, Hull ML, Rashid MM, Jacobs CR. How the stiffness of meniscal attachments and meniscal material properties affect tibio-femoral contact pressure computed using a validated finite element model of the human knee joint. *J Biomech* 2003; **36**: 19-34.
- [25] Ramaniraka NA, Terrier A, Theumann N, Siegrist O. Effects of the posterior cruciate ligament reconstruction on the biomechanics of the knee joint: a finite element analysis. *Clin Biomech* (Bristol, Avon) 2005; **20**: 434-442.
- [26] Papaioannou G, Demetropoulos CK, King YH. Predicting the effects of knee focal articular surface injury with a patient-specific finite element model. *The Knee* 2010; **17**: 61-68.
- [27] Peña E, Calvo B, Martínez MA, Doblaré M. Effect of the size and location of osteochondral defects in degenerative arthritis. A finite element simulation. *Comput Biol Med* 2007; **37**: 376-387.
- [28] Duda GN, Maldonado ZM, Klein P, Heller MO, Burns J, Bail H. On the influence of mechanical conditions in osteochondral defect healing. *J Biomech* 2005; **38**: 843-851.
- [29] Kazemi M, Dabiri Y, Li LP. Recent advances in computational mechanics of the human knee joint. *Computational and Mathematical Methods in Medicine* 2013, Article ID 718423, 27 pages, doi: 10.1155/2013/718423.
- [30] Gu KB, Li LP. A human knee joint model considering fluid pressure and fiber orientation in cartilages and menisci. *Med Eng Phys* 2011; **33**: 497-503.
- [31] Kazemi M, Li LP, Savard P, Buschmann MD. Creep behavior of the intact and meniscectomy knee joints. *J Mech Behav Biomed Mater* 2011; **4**: 1351-1358.

- [32] Li LP, Herzog W. Strain-rate dependence of cartilage stiffness in unconfined compression: the role of fibril reinforcement versus tissue volume change in fluid pressurization. *J Biomech* 2004; **37**: 375-382.
- [33] Li LP, Korhonen RK, Iivarinen J, Jurvelin JS, Herzog W. Fluid pressure driven fibril reinforcement in creep and relaxation tests of articular cartilage. *Med Eng Phys* 2008; **30**: 182-189.
- [34] Dabiri Y, Li LP. Influences of the depth-dependent material inhomogeneity of articular cartilage on the fluid pressurization in the human knee. *Med Eng Phys* 2013; **35**: 1591-1598.
- [35] Bae WC, Payanal MM, Chen AC, Hsieh-Bonassera ND, Ballard BL, Lotz MK, Coutts RD, Bugbee WD, Sah RL. Topographic Patterns of Cartilage Lesions in Knee Osteoarthritis. *Cartilage* 2010; **1**: 10-19.
- [36] Biswal S, Hastie T, Andriacchi TP, Bergman GA, Dillingham, MF, Lang P. Risk factors for progressive cartilage loss in the knee: a longitudinal magnetic resonance imaging study in forty-three patients. *Arthritis Rheum* 2002; **46**: 2884-2892.
- [37] Tandogan RN, Taşer O, Kayaalp A, Taşkiran E, Pinar H, Alparslan B, Alturfan A. Analysis of meniscal and chondral lesions accompanying anterior cruciate ligament tears: relationship with age, time from injury, and level of sport. *Knee Surg Sports Traumatol Arthrosc* 2004; **12**: 262-270.
- [38] Temple MM, Bae WC, Chen MQ, Lotz M, Amiel D, Coutts RD, Sah RL. Age- and site-associated biomechanical weakening of human articular cartilage of the femoral condyle. *Osteoarthritis Cartilage* 2007; **15**: 1042-1052.
- [39] Hjelle K, Solheim E, Strand T, Muri R, Brittberg M. Articular cartilage defects in 1,000 knee arthroscopies. *Arthroscopy* 2002; **18**: 730-734.
- [40] Kaufman KR, Hughes C, Morrey BF, Morrey M, An KN. Gait characteristics of patients with knee osteoarthritis. *J Biomech* 2001; **34**: 907-915.

- [41] Athanasiou KA, Rosenwasser MP, Buckwalter JA, Malinin TI, Mow VC. Interspecies comparisons of in situ intrinsic mechanical properties of distal femoral cartilage. *Journal of Orthopaedic Research* 1991; **9**: 330-340.
- [42] Li LP, Gu KB. Reconsideration on the use of elastic models to predict the instantaneous load response of the knee joint. *Proc Inst Mech Eng H – Journal of Engineering in Medicine* 2011; **225**: 888-896.
- [43] Burr DB, Radin EL. Microfractures and microcracks in subchondral bone: are they relevant to osteoarthritis? *Rheum Dis Clin North Am* 2003; **29**: 675-685.
- [44] Radin EL, Rose RM. Role of subchondral bone in the initiation and progression of cartilage damage. *Clin Orthop Relat Res* 1986; **213**: 34-40.
- [45] Vener MJ, Thompson RC, Lewis JL, Oegema TR. Subchondral damage after acute transarticular loading: an in vitro model of joint injury. *J Orthop Res* 1992; **10**: 759-765.
- [46] Setton LA, Zhu W, Mow VC. The biphasic poroviscoelastic behavior of articular cartilage: role of the surface zone in governing the compressive behavior. *J Biomech* 1993; **26**: 581-592.
- [47] Shirazi R, Shirazi-Adl A, Hurtig M. Role of cartilage collagen fibrils networks in knee joint biomechanics under compression. *J Biomech* 2008; **41**: 3340-3348.
- [48] Hosseini A, Van de Velde SK, Kozanek M, Gill TJ, Grodzinsky AJ, Rubash HE, Li G. In-vivo time-dependent articular cartilage contact behavior of the tibiofemoral joint. *Osteoarthritis Cartilage* 2010; **18**: 909-916.
- [49] Halonen KS, Mononen ME, Jurvelin JS, Toyräs J, Salo J, Korhonen RK. Deformation of articular cartilage during static loading of a knee joint – Experimental and finite element analysis. *J Biomech* 2014; **47**: 2467-2474.
- [50] Adeb SM, Sayed Ahmed EY, Matyas J, Hart DA, Frank CB, Shrive NG. Congruency effects on load bearing in diarthrodial joints. *Comput Methods Biomech Biomed Eng* 2004; **7**: 147-157.

- [51] Guo H, Maher SA, Spilker RL. Biphasic finite element contact analysis of the knee joint using an augmented Lagrangian method. *Medical Eng Phys* 2013; **35**: 1313-1320.
- [52] Li J, Hua X, Jin Z, Fisher J, Wilcox RK. Influence of clearance on the time-dependent performance of the hip following hemiarthroplasty. *Medical Eng Phys* 2014; **36**: 1449-1454.
- [53] Fietz K. Towards a finite element model for fluid flow in the human hip joint. MSc thesis (supervisors: Nackenhorst U, Markert B), Leibniz Universität Hannover, Germany, 2013.
- [54] Manda K, Eriksson A. Time-dependent behavior of cartilage surrounding a metal implant for full-thickness cartilage defects of various sizes: a finite element study. *Biomech Model Mechanobiol* 2012; **11**: 731-742.
- [55] Dabiri Y, Li LP. Altered knee joint mechanics in simple compression associated with early cartilage degeneration. *Comput Math Methods Med* 2013; Article ID 862903, 11 pages, doi:10.1155/2013/862903.
- [56] Li G, Lopez O, Rubash H. Variability of a three dimensional finite element model constructed using magnetic resonance images of a knee for joint contact stress analysis. *Journal of Biomechanical Engineering* 2001; **123**: 341–346.

Table 1. Material properties for all tissues (Modulus: MPa; Permeability:  $10^{-3}\text{mm}^4/\text{Ns}$ ). The  $x$  is the primary fiber direction, i.e. the split-line direction for the superficial zone, the depth direction for the deep zone for articular cartilage, and the circumferential direction for the meniscus. The  $y$  and  $z$  are normal to the primary fiber direction. The properties are the same in the  $y$  and  $z$  directions. For simplicity, the superficial, middle and deep zones were taken to be approximately 25%, 50% and 25% of the cartilage thickness [34]. The permeability is defined in Darcy's law. The coefficient of surface friction is 0.02.

Tissue		Fibrillar matrix		Nonfibrillar matrix		Permeability	
		$E_x$	$E_y$ or $E_z$	$E_m$	$\nu_m$	$k_x$	$k_y$ or $k_z$
Femoral cartilage	Deep zone	$3 + 1600\varepsilon_x$	$0.9 + 480\varepsilon_{y/z}$	0.80	0.36	1.0	0.5
	Middle zone	$2 + 1000\varepsilon_x$	$2 + 1000\varepsilon_{y/z}$	0.60	0.30	3.0	1.0
	Superficial zone	$4 + 2200\varepsilon_x$	$1.2 + 660\varepsilon_{y/z}$	0.20	0.16	1.0	0.5
Tibial cartilage		$2 + 1000\varepsilon_x$	$2 + 1000\varepsilon_{y/z}$	0.26	0.36	2.0	1.0
Menisci		28	5	0.50	0.36	2.0	1.0
Bones		$E = 5000$		$\nu = 0.30$			

Table 2. Ten cases investigated in the present study. Three cartilage conditions were considered: normal cartilage, defects in the medial and lateral condyles, respectively; three defect depths were also assumed for the medial condyle defect: the defect in the superficial zone only, the defect up to the middle zone and the defect up to the deep zone. Both force and displacement controls were simulated. In Cases 6 and 7, no contact was assumed between the defect and its mating surface.

Loading conditions	Normal cartilage	Medial condyle defect			Lateral condyle defect	
		Superficial	Middle	Deep	Superficial	
Displacement control (Relaxation)	<b>Case 1</b>	Defect contact	<b>Case 2</b>	<b>Case 3</b>	<b>Case 4</b>	<b>Case 5</b>
		No defect contact	<b>Case 6</b>	<b>Case 7</b>		
Force control (Creep)	<b>Case 8</b>	Defect contact	<b>Case 9</b>		<b>Case 10</b>	

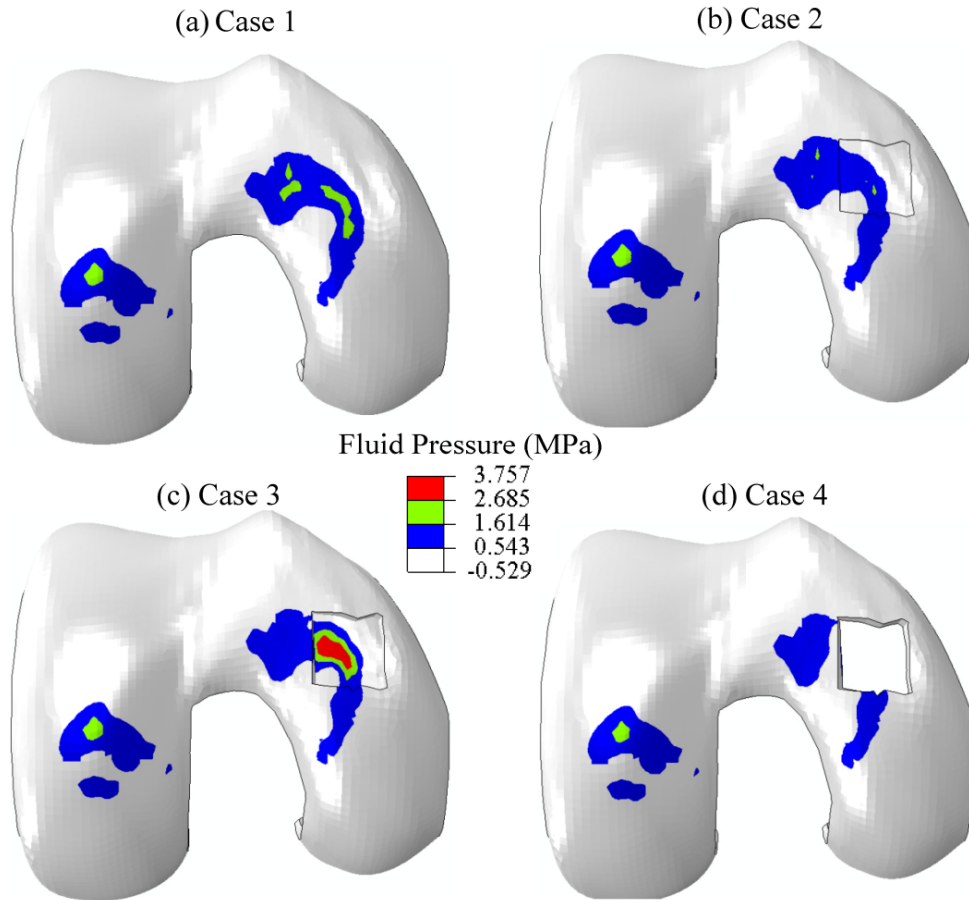


Fig. 1. Surface fluid pressure in the femoral cartilage at 500 $\mu$ m knee compression prior to relaxation (at 5s), showing for the layer of 1/16 depth. For cases of the partial-thickness defect, the fluid pressure in the *remaining underlying* cartilage is shown for the new surface layer, i.e. at the 5/16 (Case 2) and 13/16 (Case 3) depth respectively (shown in the insets). *The depth is normalized by the thickness of cartilage prior to defect* (0 = articular surface; 1 = cartilage/bone interface). This is an inferior view of the right knee, i.e. the medial condyle is on the right. Case 1: Normal cartilage; Case 2: Superficial defect; Case 3: Middle defect; Case 4: Deep defect.



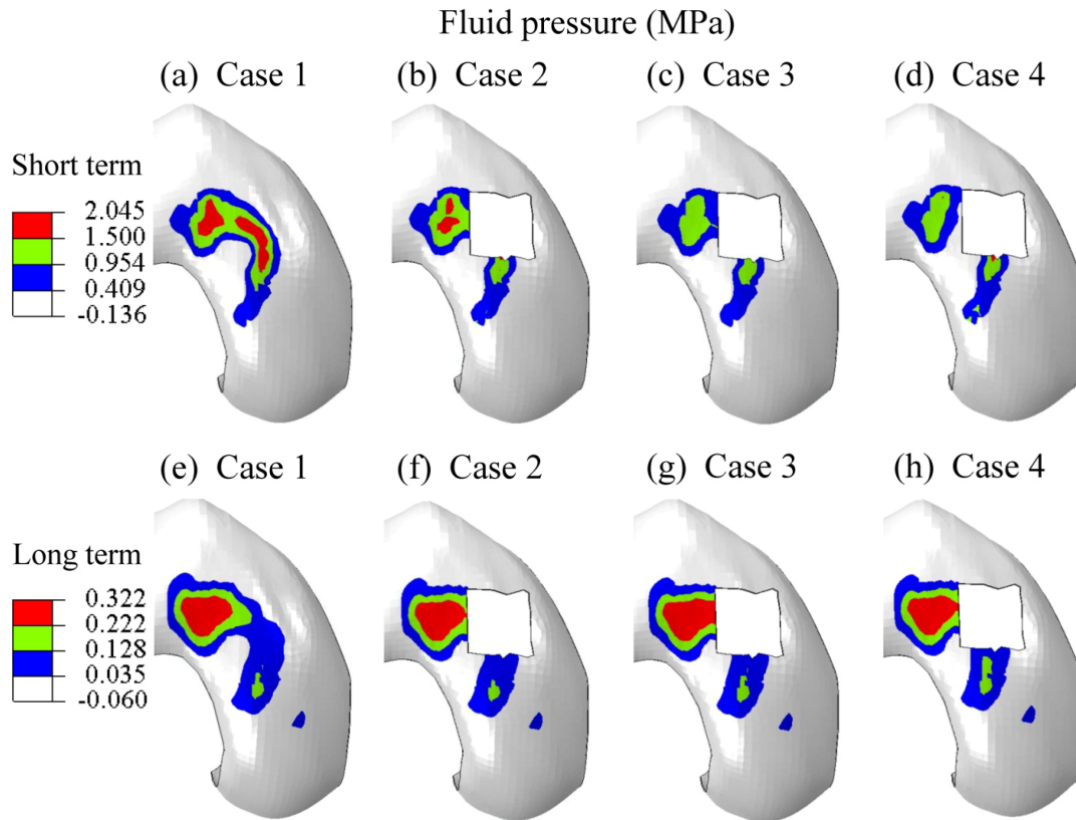


Fig. 2. Surface fluid pressure in the medial condyle at 500 $\mu$ m knee compression prior to relaxation (Short term: 2a, 2b, 2c, 2d) and at 1000s relaxation (Long term: 2e, 2f, 2g, 2h). Defect contact was assumed in the 3 affected cases (Cases 2-4). The defect region is blanked out.

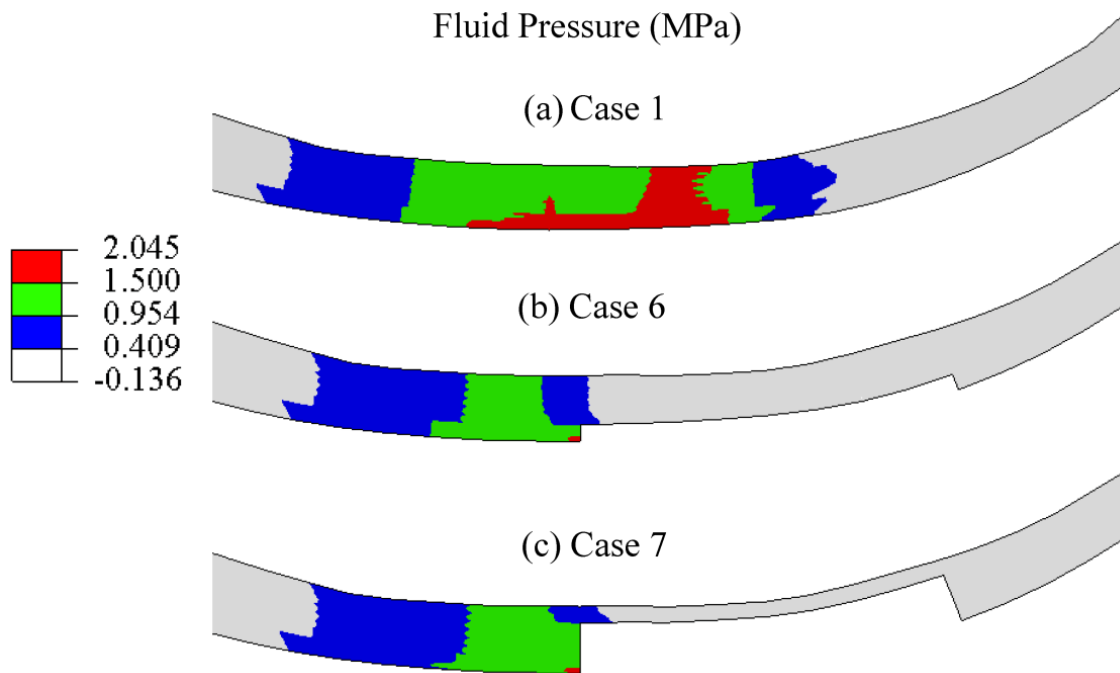


Fig. 3. Fluid pressure in a sagittal plane of the femoral cartilage at 500 $\mu$ m compression prior to relaxation, shown for the high load-bearing region of the medial condyle. (a) Normal cartilage (Case 1), (b) Superficial defect (Case 6, no defect contact), and (c) Middle defect (Case 7, no defect contact). The anterior side is on the left.

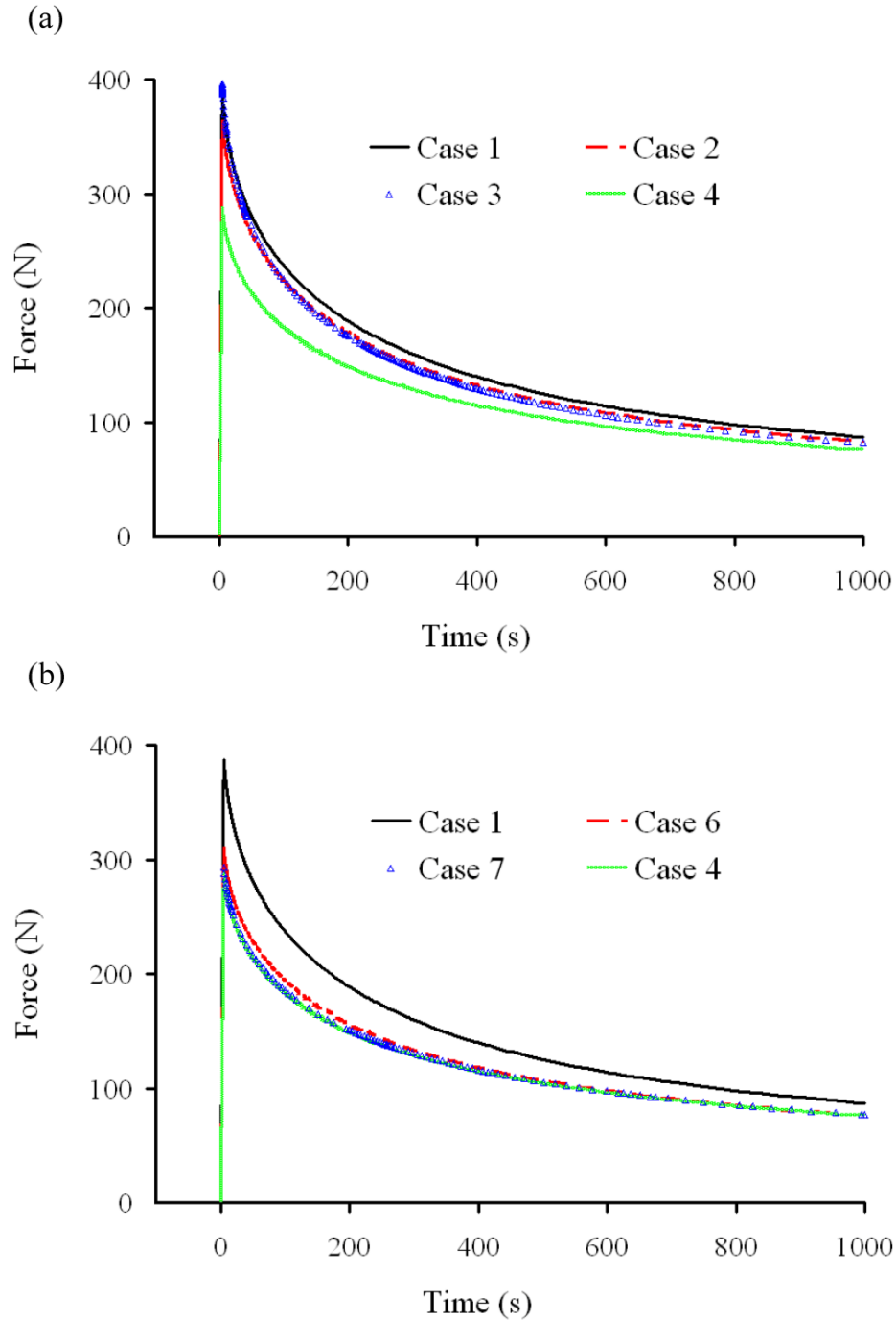


Fig. 4. Reaction force in the knee as a function of time for the ramp compression of  $500\mu\text{m}$  followed by relaxation. (a) Defect contact was assumed in the defect cases (peak forces are Case 1: 387.8; Case 2: 363.6; Case 3: 396.3; Case 4: 288.1N); and (b) No defect contact was assumed in Cases 6 and 7 (peak forces are Case 6: 309.5; Case 7: 293.6N). These defect cases are all medial condyle defects.

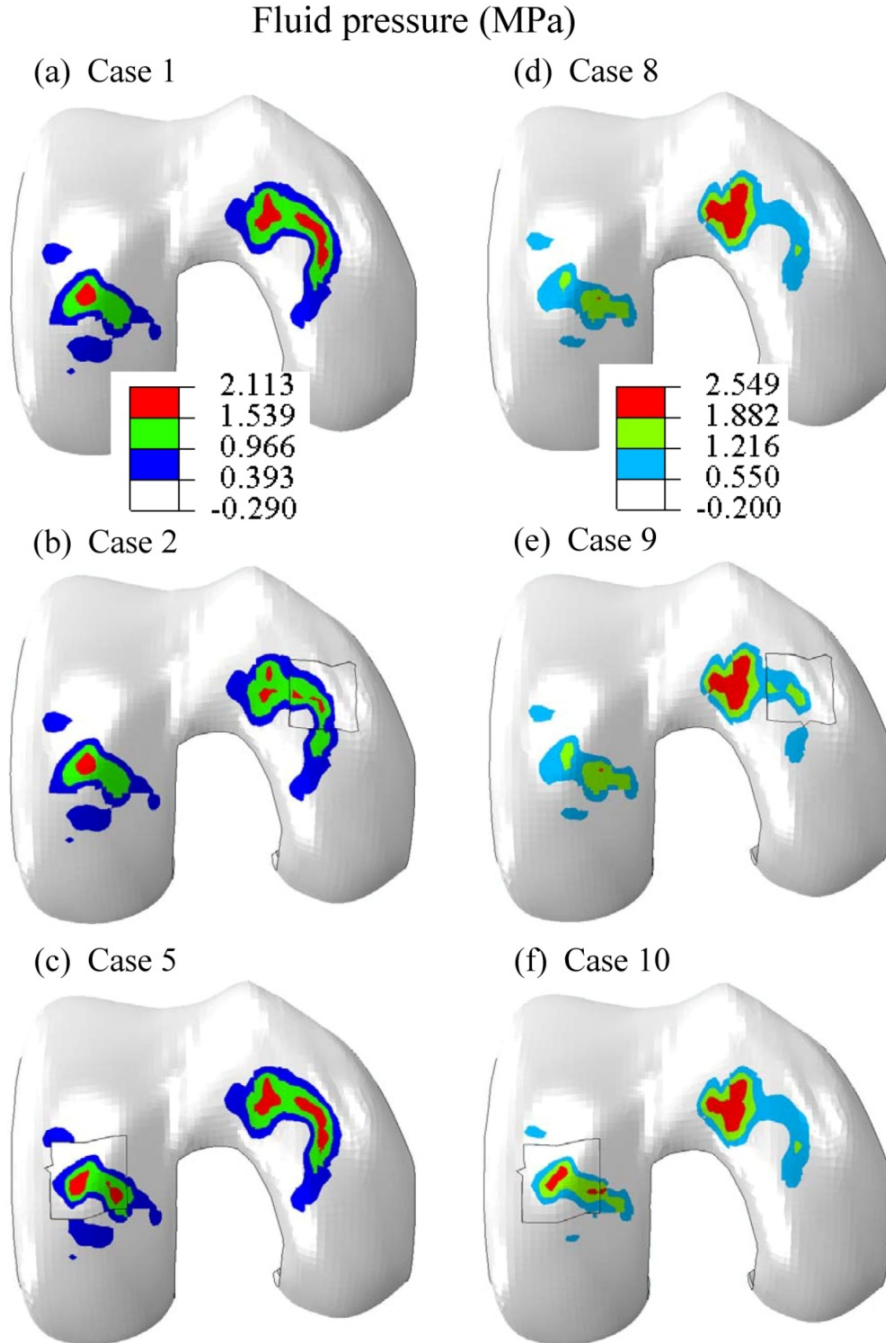


Fig. 5. Surface fluid pressure in the femoral cartilage at 500 $\mu$ m ramp compression prior to relaxation (5a, 5b, 5c) and at 387.76N ramp compressive loading prior to creep (5d, 5e, 5f). For the affected cases, which are all superficial defects, the fluid pressure in the defect region is shown for the new surface layer, i.e. at the depth of 5/16. Defect contact was assumed in the 4 affected cases including medial condyle defect (5b, 5e) and lateral condyle defect (5c, 5f).

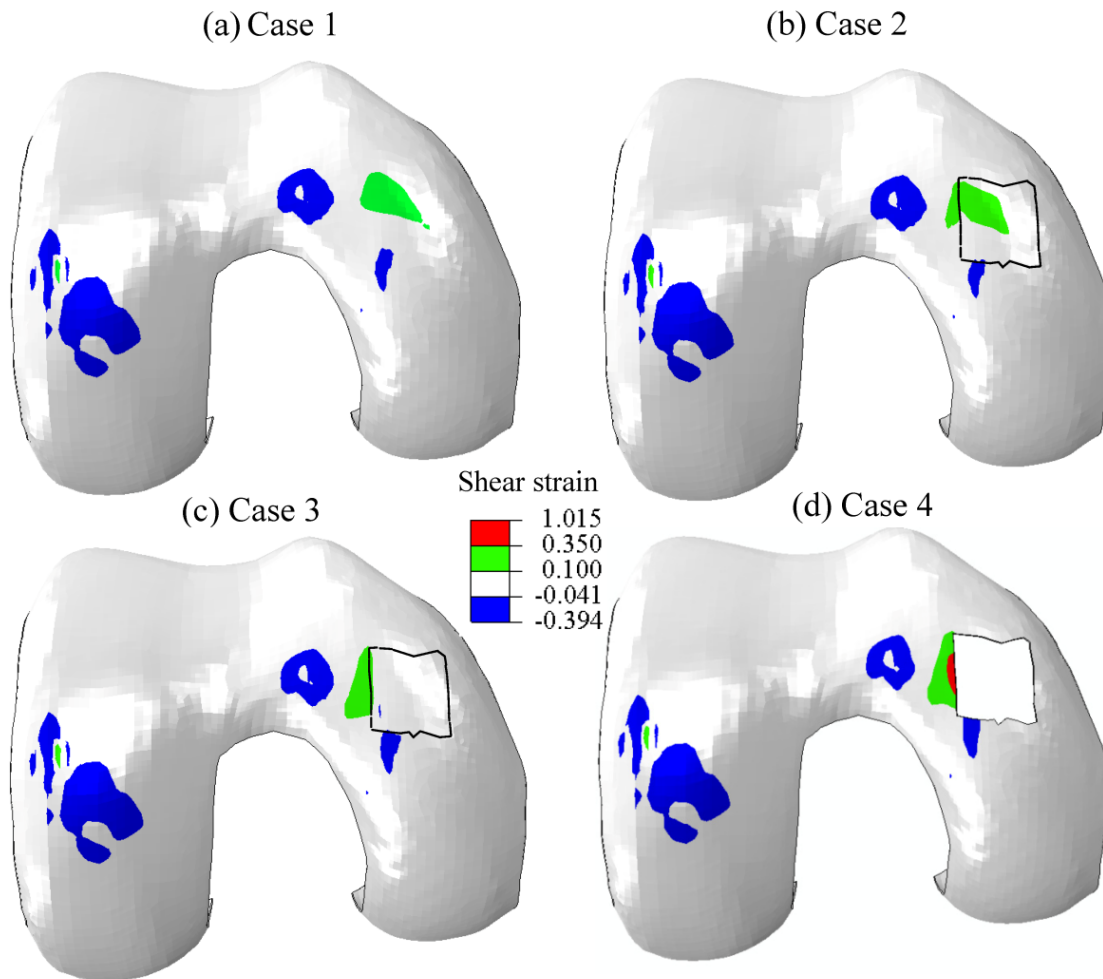


Fig. 6. Shear strain in the deepest cartilage layer during late relaxation of 500 $\mu$ m knee compression (at 1000s). (a) Normal cartilage, (b) Superficial defect, (c) Middle defect, and (d) Deep defect. Defect contact was assumed in the affected cases (Cases 2-4). The medial condyle is on the right.

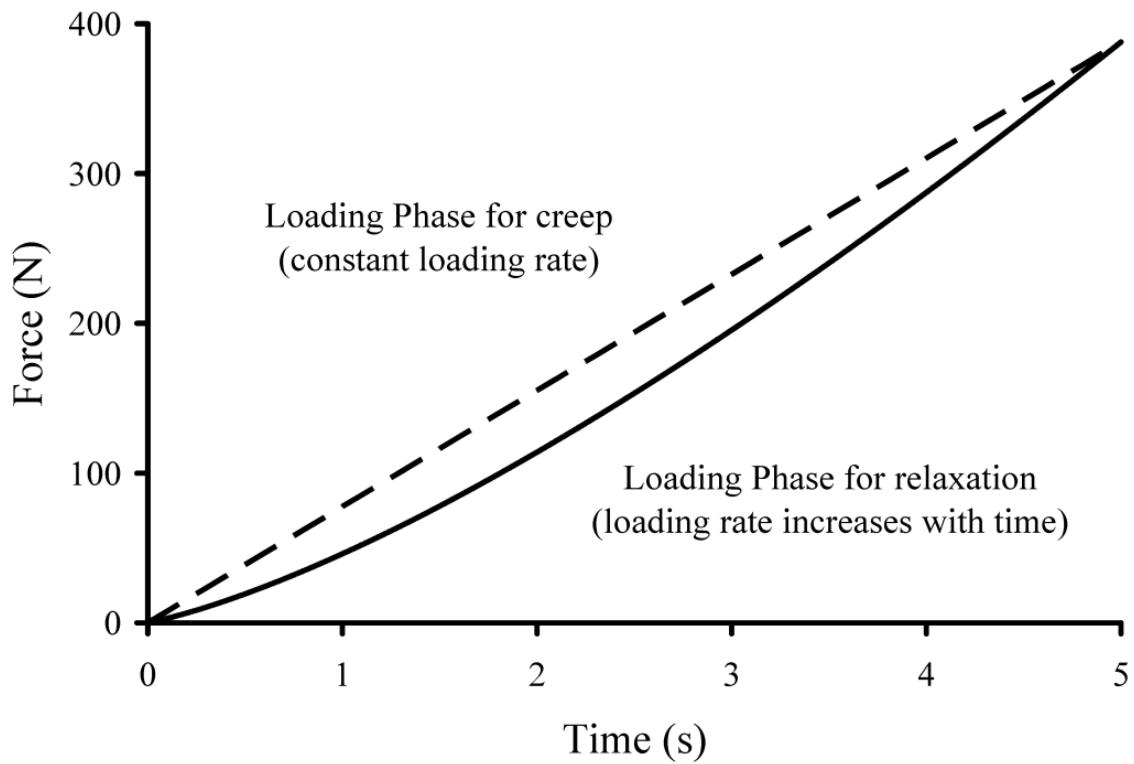


Fig. 7. Reaction forces in the knee as functions of time during the loading phase for the cases of creep and stress relaxation. In the case of creep (dash line), the loading rate was constant (force control); while in the case of relaxation (solid curve), the knee joint experienced an increasing loading rate (the slope of the curve increases with time) due to nonlinear behavior. The curve for the case of relaxation was taken from Fig. 4 for the normal joint (Case 1).