

# Multiterminal Secret Key Agreement

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## Why key agreement?

- Symmetric-Key Crypto is secure *if* a key is shared among parties, so it requires a secure **Secret Key Agreement (SKA)**
- Asymmetric-Key Crypto does not require the same shared key *but* current symmetric-Key protocols, that are widely used over the Internet, are **not secure** when the adversary has a **Quantum Computer!**

**Goal:** Quantum-safe SKA + Symmetric-Key Encryption

## Why information theoretic key agreement?

- Gives **provable security** guarantee against adversaries with **unlimited computational power**
- Raises many **new insights** and gives a **powerful framework** to study the **fundamental limits of information networks**
- Has **many applications** based on practical physical-layer assumptions
- It is **quantum-safe**

# Outline

- Part I: Information Theory
- Part II: Secret Key Agreement in Source Model
- Part III: Secret Key Agreement in Channel Model (if time permits)

# Part I

## Information Theory

- Random variables (RVs)

$$P_X(x) = \Pr \{X = x\}$$

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- Information, Uncertainty, Entropy

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$$\log_2 \frac{1}{P_X(x)}$$



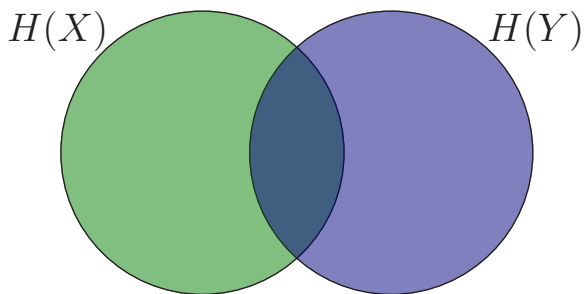
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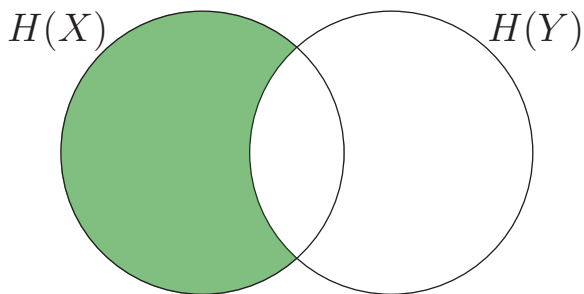
- Information, Uncertainty, Entropy

$$H(X) = \sum_{x \in \mathcal{X}} P_X(x) \log_2 \frac{1}{P_X(x)}$$

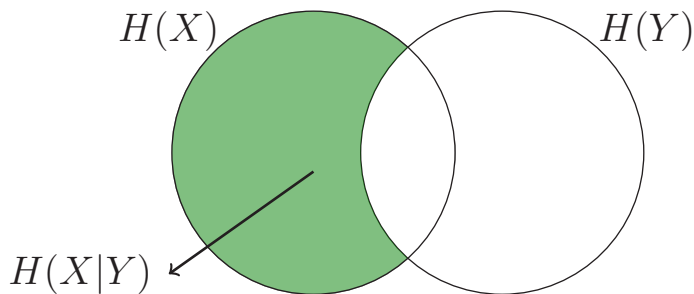
- Entropy, Joint Entropy, Conditional Entropy



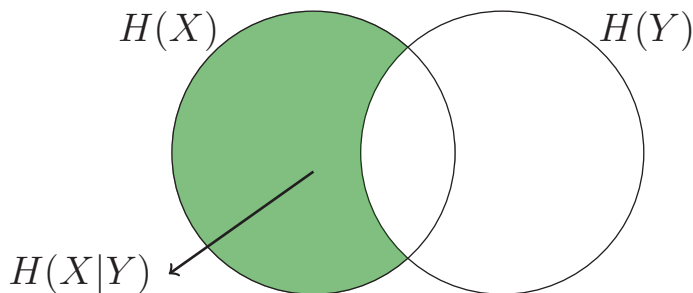
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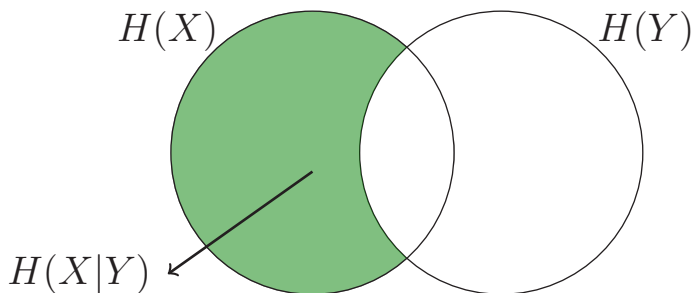


- Entropy, Joint Entropy, Conditional Entropy



$$H(X, Y) = H(Y) + H(X|Y)$$

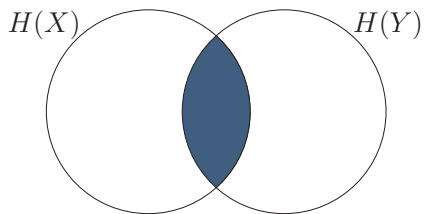
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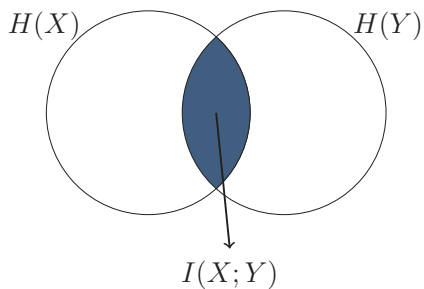
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- Mutual Information

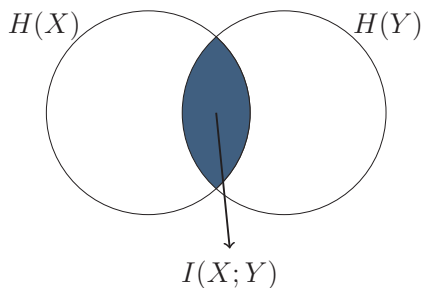


- Mutual Information



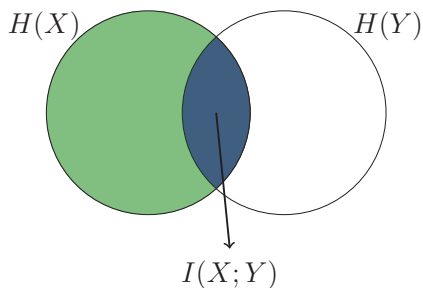


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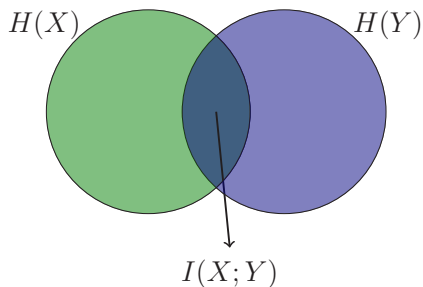
$$H(X, Y) = I(X; Y) +$$

- Mutual Information



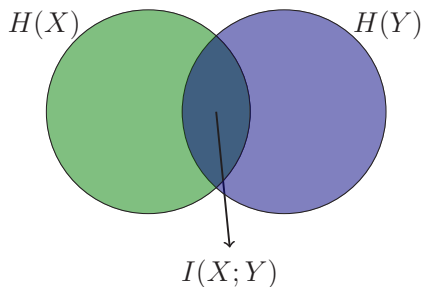
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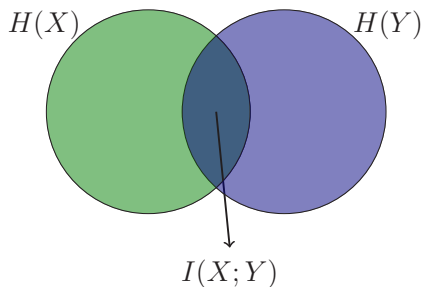
- Mutual Information



$$H(X, Y) = I(X; Y) + H(X|Y) + H(Y|X)$$

$$H(X) = H(X|Y) + I(X; Y)$$

- Mutual Information

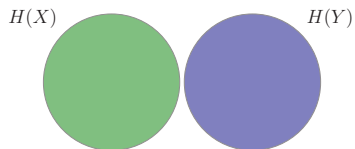


$$H(X, Y) = I(X; Y) + H(X|Y) + H(Y|X)$$

$$H(X) = H(X|Y) + I(X; Y)$$

$$H(Y) = H(Y|X) + I(Y; X)$$

- Independence



$$\Pr \{X|Y\} = \Pr \{X\}$$

$$H(X|Y) = H(X)$$

$$I(X;Y) = 0$$

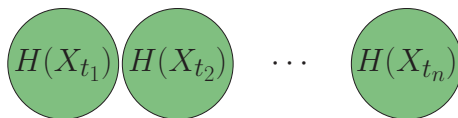
$$H(X,Y) = H(X) + H(Y)$$

- IID Source (Independent and identically distributed)

$$X^n = (X_{t_1}, X_{t_2}, X_{t_3}, X_{t_4}, \dots, X_{t_n})$$

$$\begin{aligned} H(X^n) &= H(X_{t_1}) + H(X_{t_2}) + \dots + H(X_{t_n}) \\ &= nH(X_{t_1}) \end{aligned}$$

$$P_{X^n} = (P_{X_{t_1}})^n$$

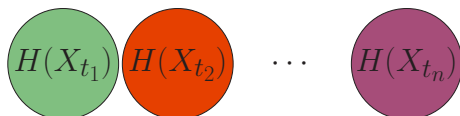


- INID Source (Independent but not identically distributed)

$$X^n = (X_{t_1}, X_{t_2}, X_{t_3}, X_{t_4}, \dots, X_{t_n})$$

$$H(X^n) = H(X_{t_1}) + H(X_{t_2}) + \dots + H(X_{t_n})$$

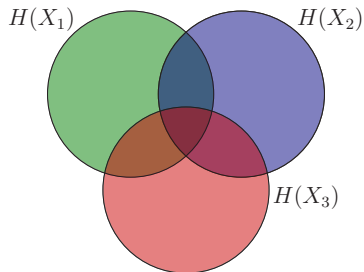
$$P_{X^n} = \prod_{j=1}^n P_{X_{t_j}}$$





In general, when three variables are correlated, we have

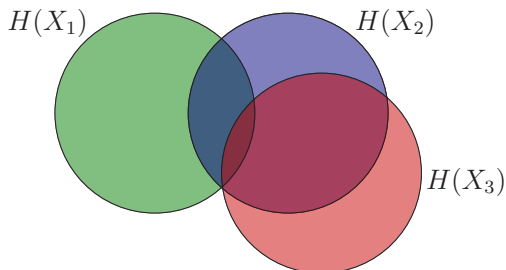
$$I(X_1; X_3|X_2) \neq 0$$



$$P_{X_1 X_2 X_3} = P_{X_1 X_2} P_{X_3|X_1 X_2}$$

If Markov relation  $X_1 - X_2 - X_3$  holds,

$$I(X_1; X_3 | X_2) = 0$$



$$P_{X_1 X_2 X_3} = P_{X_1 X_2} P_{X_3 | X_2}$$

- Measuring Length

Consider a random binary string  $X$

$$x = (0, 1, 0, 1, 0, 0, 0, 1, 0, 1, 1, 0, 1, 1, 1, 0)$$

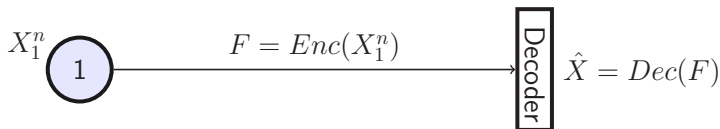
We have

- ▶  $\text{length}(X) = 16$  and
- ▶  $\mathcal{X} = \{0, 1\}^{16}$ .

Observe that

$$\text{length}(X) = \log |\mathcal{X}|$$

- Source Coding (Compression)

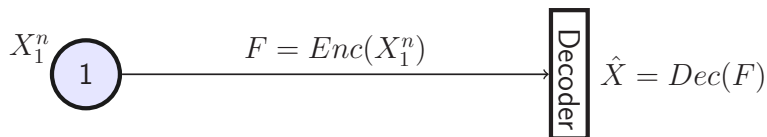


**Objectives:**  $\left\{ \begin{array}{l} 1) \hat{X} = X \\ 2) \text{length}(F) \text{ be as small as possible.} \end{array} \right.$

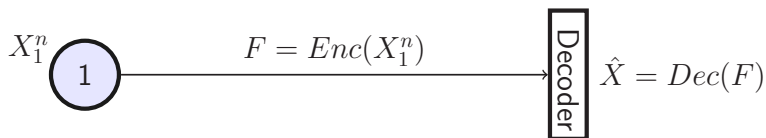
Consider a compression code  $\Phi = (Enc, Dec)$ , and a fixed  $n$ :

Compression rate  $r_n^{comp}(\Phi) = \frac{\text{length}(F)}{n}$

Error probability  $\Pr \{ X \neq \hat{X} \} \leq \epsilon_n$

**Problem:**

Find the minimum real value  $R^*$  such that  $r_n^{\text{comp}} \rightarrow R^*$  and  $\epsilon_n \rightarrow 0$ ?

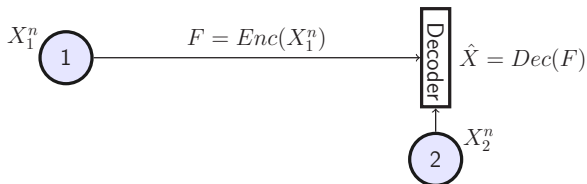


**Source Coding Theorem:** If  $P_{X_1}$  is known, then

$$R^* = H(X_1).$$

That is for any rate  $R_1 \geq H(X_1) \exists$  a compression code with asymptotic rate  $r_n^{\text{comp}} \rightarrow R_1$ , and negligible error probability ( $\epsilon_n \rightarrow 0$ ); and for any coding rate  $R_1 < H(X_1)$  there does not exist any compression code with negligible error probability.

Shannon, 1948



**Source Coding with Side Information at the Decoder:** If  $P_{X_1 X_2}$  is known, then

$$R^* = H(X_1 | X_2).$$

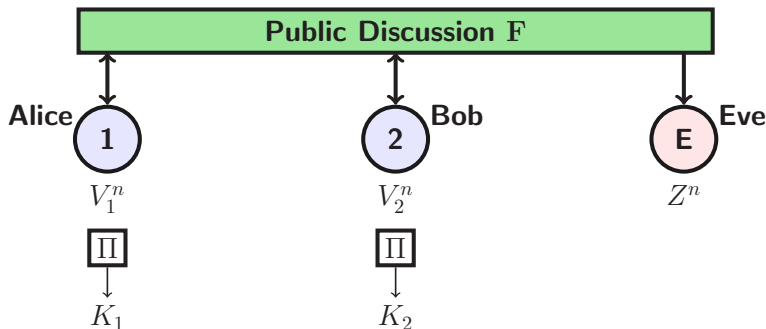
That is for any rate  $R_1 \geq H(X_1 | X_2) \exists$  a compression code with asymptotic rate  $r^{\text{comp}} \rightarrow R_1$ , and negligible error probability ( $\epsilon_n \rightarrow 0$ ); and for any coding rate  $R_1 < H(X_1 | X_2)$  there does not exist any compression code with negligible error probability.

Slepian and Wolf, 1973

# Part II

## SKA in Source Model





**An  $(\epsilon, \sigma)$ -Secret Key (SK):**

- Reliability:  $\Pr \{K_1 \neq K_2\} \leq \epsilon$
- Secrecy:  $\mathbf{SD}(K_1 \mathbf{FZ}, U \mathbf{FZ}) \leq \sigma$

Let  $\Pi$  be an SKA protocol family that  $\forall n \in \mathbb{N}$  generates an  $(\epsilon_n, \sigma_n)$ -SK.

**Key rate** of  $\Pi$  is:

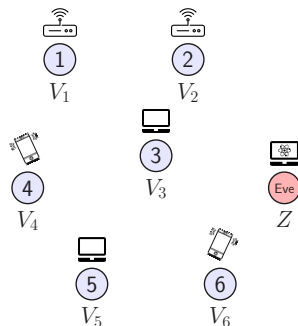
$$r_n^{key}(\Pi) = \frac{\text{length}(K)}{n}$$

A key rate  $R$  is **achievable** if  $\exists$  an SKA  $\Pi$  s.t.

- $r_n^{key}(\Pi) \rightarrow R$
- $\epsilon_n \rightarrow 0$
- $\sigma_n \rightarrow 0$

Wiretap secret key (**WSK**) **capacity** is the largest achievable key rate.

- Set of  $m$  terminals
- E.g.  $\mathcal{M} = \{1, 2, 3, 4, 5, 6\}$
- Each terminal  $j$  has RV  $V_j$
- Eve has **unlimited computation power**
- Establish a shared **Secret Key** for  $\mathcal{A} \subseteq \mathcal{M}$
- E.g.  $\mathcal{A} = \{3, 4, 5, 6\}$  or  $\mathcal{A} = \mathcal{M}$
- Terminals 1 and 2 are **helpers**
- Free access to a noiseless **public channel**



Csiszár and Narayan, "Secrecy Capacities for Multiple Terminals," IEEE Trans. Inf. Theory, Dec. 2004.

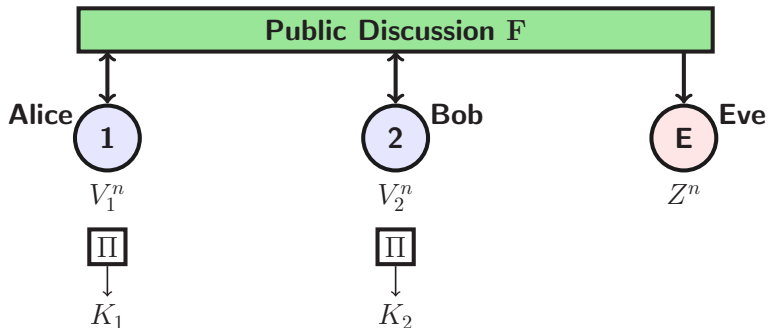
Finding a general expression for  
**WSK capacity**,  $C_{WSK}(P_{V_{\mathcal{M}}})$ , even  
for the case of two terminals  
( $|\mathcal{M}| = 2$ ) is an **open problem**.

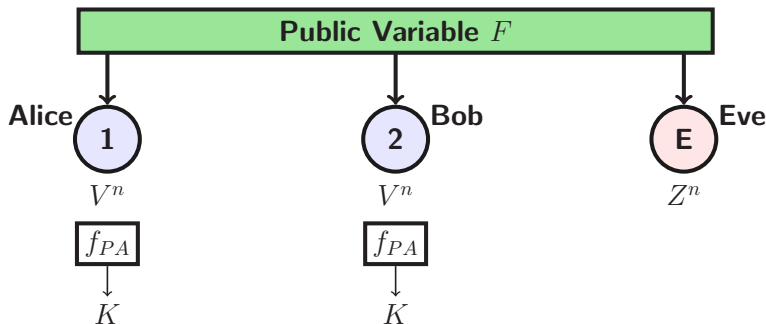
## **Our Objective:**

Find the WSK capacity of  
special-case models that are of  
practical importance.

## Two-party SKA

# Two-party Secret Key Agreement (SKA)





A PA function  $f_{PA}$  is  $(\sigma)$ -**Secure** if  $\text{SD}(KFZ, UFZ) \leq \sigma$ .

Universal Hash Functions are good key extractors.  
Alice and Bob need to arrive at a common randomness.



# Privacy Amplification Lemma (PAL)

Key rate of  $f_{PA}$  is:

$$r_n^{key}(f_{PA}) = \frac{\text{length}(K)}{n}$$

A key rate  $R$  is **achievable** if  $\exists$  a PA function  $f_{PA}$  s.t.

- $r_n^{key}(f_{PA}) \rightarrow R$
- $\sigma_n \rightarrow 0$

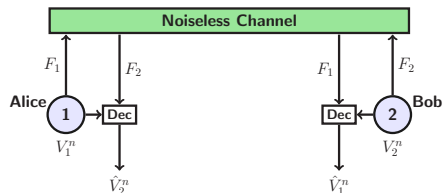
**PA Lemma [HTW16]:** For every  $R \in \mathbb{R}$  satisfying

$$R \leq H(V|Z) - \lim_{n \rightarrow \infty} \frac{1}{n} \log |\mathcal{F}|,$$

there always exists a  $\sigma_n$ -secure privacy amplification function  $f_{PA} : \mathcal{V}^n \rightarrow \mathcal{K}$ , with  $r_n^{key}(f_{PA}) \rightarrow R$  and  $\sigma_n \rightarrow 0$ .

## Information Reconciliation (IR) a.k.a. Common Randomness Generation

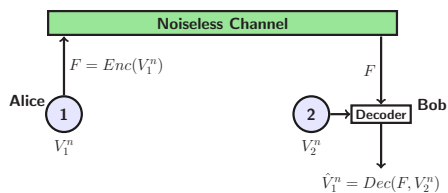
**Objective:** arrive at a common variable  $CR = CR(V_1, V_2)$



$$CR = (V_1, V_2)$$

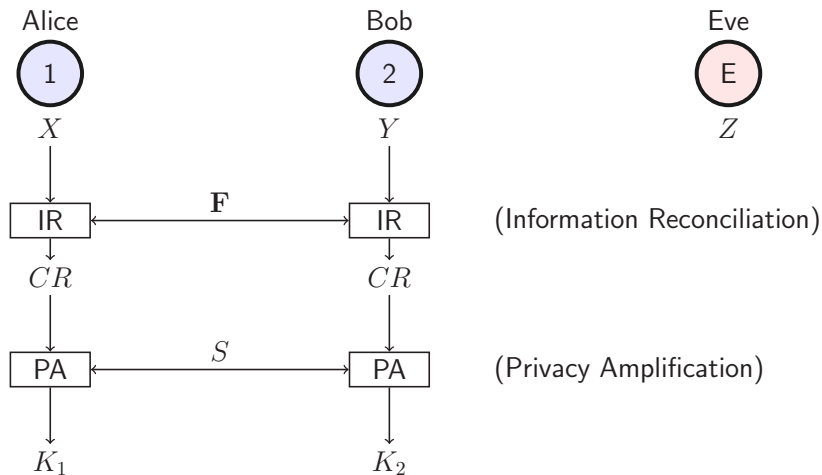
$$R_1 \geq H(V_1|V_2)$$

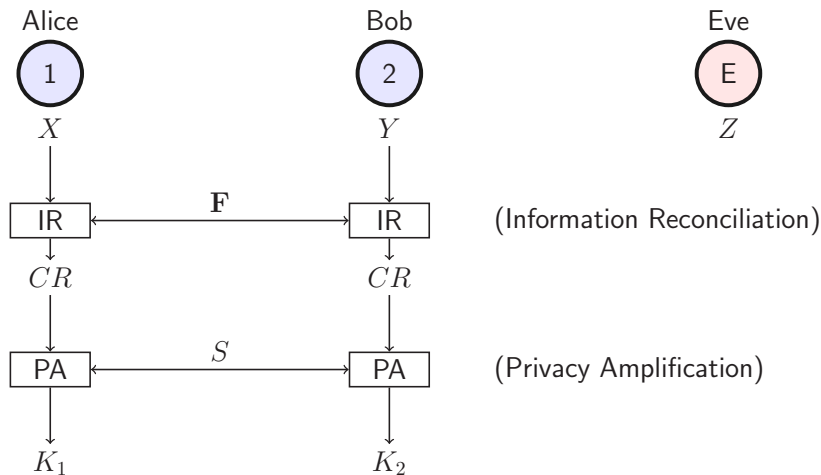
$$R_2 \geq H(V_2|V_1)$$



$$CR = V_1$$

$$R_1 \geq H(V_1|V_2)$$



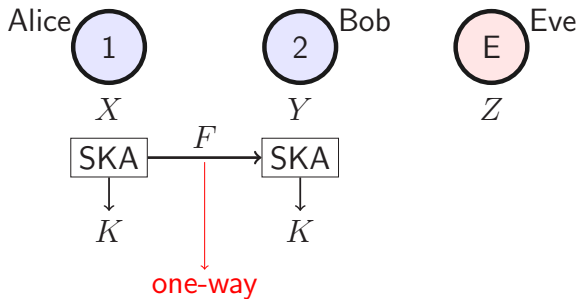


$$r_n^{key} = \frac{\log |\mathcal{K}|}{n} \rightarrow H(CR|Z) - \lim_{n \rightarrow \infty} \frac{\log |F|}{n}$$

**Problem:** For a given source model  $(X, Y, Z)$  with known distribution  $P_{XYZ}$ , what is the key capacity, if

**Problem:** For a given source model  $(X, Y, Z)$  with known distribution  $P_{XYZ}$ , what is the key capacity, if **the public communication  $F$  is one-way (from Alice to Bob)**

$$C_{WSK}^{\rightarrow}(P_{XYZ}) = ?$$



**Theorem [AC93]:** For a given source model  $(X, Y, Z)$  with known distribution  $P_{XYZ}$ , the one-way secret key capacity is

$$C_{WSK}^{\rightarrow} = \max_{P_{VU}} H(U|ZV) - H(U|YV),$$

where  $V - U - X - (Y, Z)$ .

**Theorem [AC93]:** If  $X - Y - Z$

$$C_{WSK} = H(X|Z) - H(X|Y).$$

Moreover, this capacity can be achieved by one-way communication.

[AC93] Ahlswede and Csiszár, IEEE Trans. Inf. Theory, vol. 39, no. 4, pp. 1121–1132, Jul. 1993.



# How to Achieve WSK capacity?

**OW-SKA** when  $X - Y - Z$  holds.

Alice



$X$

Bob



$Y$

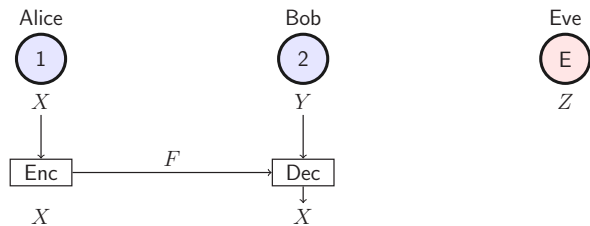
Eve



$Z$

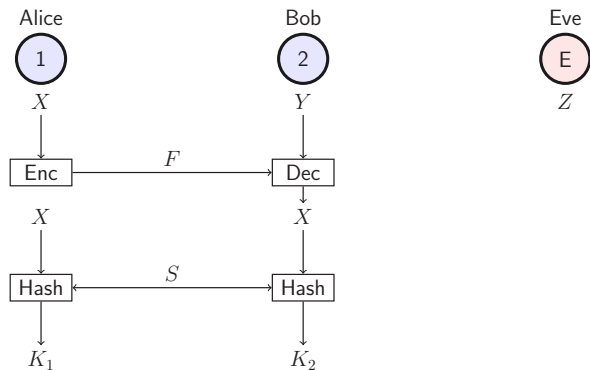
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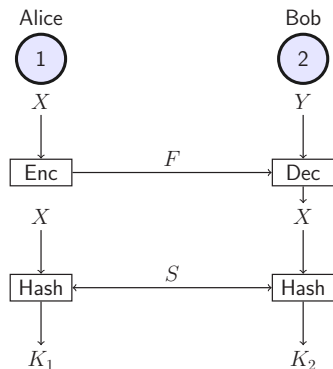
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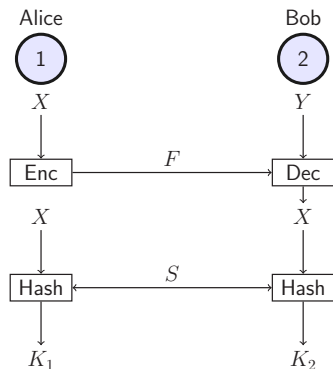
**OW-SKA** when  $X - Y - Z$  holds.



$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{F}|}{n} = H(X|Y) + \mu$$

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**OW-SKA** when  $X - Y - Z$  holds.

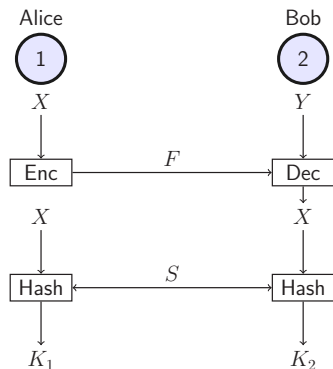


$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{F}|}{n} = H(X|Y) + \mu$$

$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{K}|}{n} = H(X|Z) - \lim_{n \rightarrow \infty} \frac{\log |\mathcal{F}|}{n} - \xi$$

# How to Achieve WSK capacity?

**OW-SKA** when  $X - Y - Z$  holds.

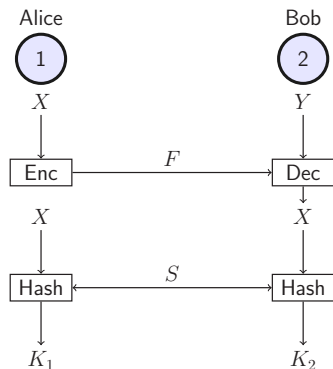


$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{F}|}{n} = H(X|Y) + \mu$$

$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{K}|}{n} = H(X|Z) - \lim_{n \rightarrow \infty} \frac{\log |\mathcal{F}|}{n} - \xi$$

$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{K}|}{n} = H(X|Z) - H(X|Y) = C_{WSK}^{\rightarrow} - \mu - \xi$$

**OW-SKA when  $X - Y - Z$  holds.**



$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{F}|}{n} = H(X|Y) + \mu$$

$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{K}|}{n} = H(X|Z) - \lim_{n \rightarrow \infty} \frac{\log |\mathcal{F}|}{n} - \xi$$

$$\lim_{n \rightarrow \infty} \frac{\log |\mathcal{K}|}{n} = H(X|Z) - H(X|Y) = C_{WSK}^{\rightarrow} - \mu - \xi$$

$$\mu, \xi \rightarrow 0$$

**Problem:** Consider a source model  $(X, Y, Z)$  that is INID where  $X_j - Y_j - Z_j$  holds for every  $j$ .

What is the WSK capacity of this model?



**Problem:** Consider a source model  $(X, Y, Z)$  that is INID where  $X_j - Y_j - Z_j$  holds for every  $j$ .

What is the WSK capacity of this model?

**Theorem [SPS'20]:** For the INID source model above

$$C_{WSK} = \liminf_{n \rightarrow \infty} H(X^n | Z^n) - H(X^n | Y^n).$$

Moreover, this capacity can be achieved by one-way communication.

[SPS'20] Sharifian, Poostindouz and Safavi-Naini, "A Capacity-achieving One-way Key Agreement with Improved Finite Blocklength Analysis," ISITA 2020

Let  $n$  be a fixed finite integer. Define  $S_{\epsilon, \sigma}^{\rightarrow}$  as the largest key length of all  $(\epsilon, \sigma)$ -SK's generated by OW-SKA.

Previous capacity results imply that

$$S_{\epsilon, \sigma}^{\rightarrow} = nC_{WSK}^{\rightarrow} - o(n).$$

**Problem:** Consider a source model  $(X, Y, Z)$  for OW-SKA.

Find more accurate finite-length approximations of  $S_{\epsilon, \sigma}^{\rightarrow}$  ?

We proposed a OW-SKA protocol  $\Pi_{\text{HH}}$  and proved the following.

**Theorem [SPS'20]:** For the INID source model

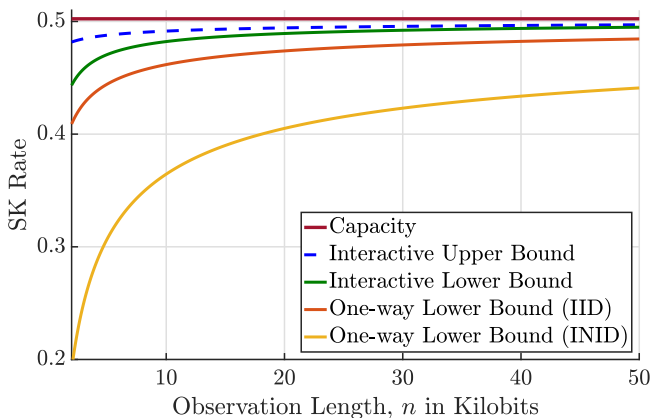
$$S_{\epsilon, \sigma}^{\rightarrow} \geq H(X^n|Z^n) - H(X^n|Y^n) - \sqrt{n}G_1 - \log n + \mathcal{O}(1),$$

where  $G_1$  is a function of  $(|\mathcal{X}|, \epsilon, \sigma)$ .

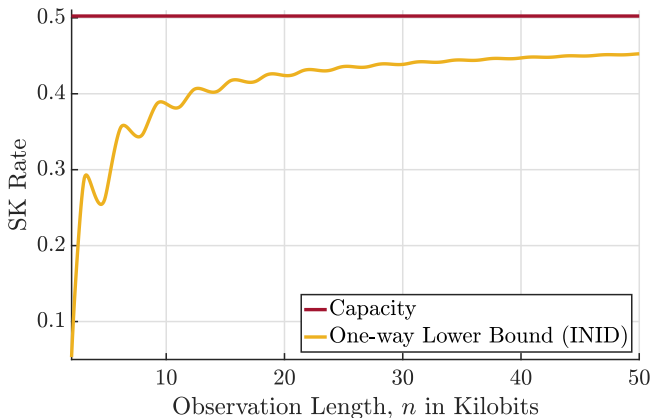
**Theorem [SPS'20]:** For the IID source model

$$S_{\epsilon, \sigma}^{\rightarrow} \geq n(H(X|Z) - H(X|Y)) - \sqrt{n}G_2 - \log n + \mathcal{O}(1),$$

where  $G_2$  is a function of  $(P_{XYZ}, \epsilon, \sigma)$ .



Optimum finite-length bounds of interactive SKA, and the finite-length lower bounds of OW-SKA protocol  $\Pi_{\text{HH}}$ . Here  $\epsilon = \sigma = 0.05$ ,  $P_X$  is uniform,  $Y = \text{BSC}_a(X)$ , and  $Z = \text{BSC}_b(Y)$ , where  $a = 0.02$ , and  $b = 0.15$ . Note that in this example, as  $X - Y - Z$  holds, both interactive and one-way bounds achieve the WSK capacity.



Finite-length performance of  $\Pi_{\text{HH}}$  for an INID source. Here  $\epsilon = \sigma = 0.05$ ,  $P_X$  is uniform IID,  $Y_n = \text{BSC}_{a_n}(X_n)$ , and  $Z_n = \text{BSC}_{b_n}(Y_n)$ , where  $a_n = 0.02 + \frac{500}{n} \sin\left(\frac{n}{500}\right)$ , and  $b_n = 0.15$ . Here  $X_n - Y_n - Z_n$  holds for all  $n$ , and both interactive and one-way SKA approaches achieve the WSK capacity.

We observed that the computational cost of  $\Pi_{\text{HH}}$  is exponential so we proposed a second OW-SKA protocol,  $\Pi_{\text{PH}}$ , that has computational complexity  $\mathcal{O}(n \log n)$  and proved its finite-length analysis.

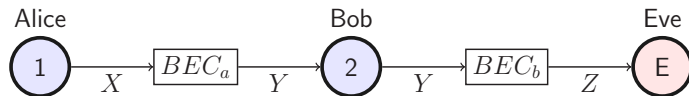
**Theorem [PS'21]:** For every  $\delta \in (0, 1]$

$$\ell_{\Pi_{\text{PH}}}(n) = nC_{\text{WSK}} - \sqrt[\tau]{n^{\tau-1}}G_{\text{IR}}(\epsilon) - \sqrt{n}G_{\text{PA}}(\sigma) \pm o(\sqrt{n}),$$

where  $\tau = 2 + \delta$ .

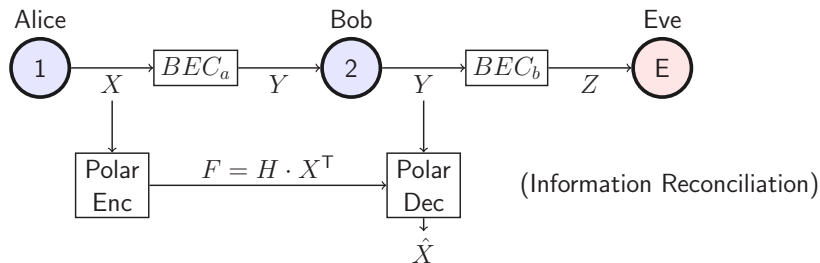
[PS'21] Poostindouz and Safavi-Naini, "Second-Order Asymptotics for One-way Secret Key Agreement," ISIT 2021.

## One-way SKA using Polar coding



- The computational complexity is  $\mathcal{O}(n \log n)$

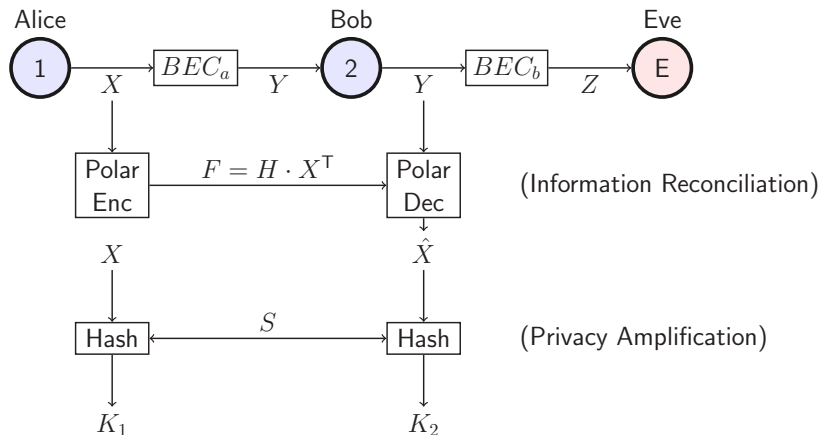
## One-way SKA using Polar coding



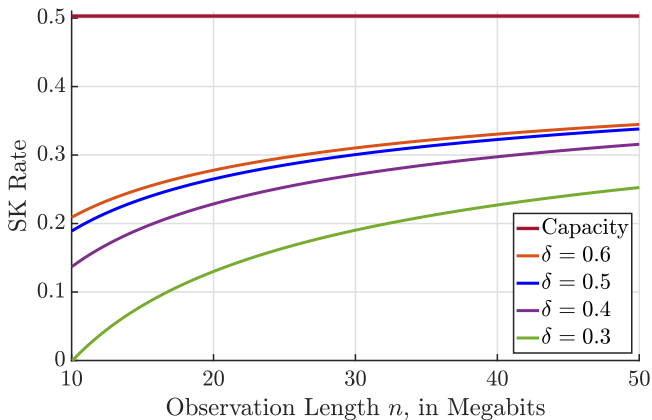
- The computational complexity is  $\mathcal{O}(n \log n)$



## One-way SKA using Polar coding



- The computational complexity is  $\mathcal{O}(n \log n)$



Finite-length performance of OW-SKA Protocol  $\Pi_{\mathbf{P}_H}$  for different  $\delta$ 's in,  $(0.3, 0.4, 0.5, 0.6)$ . These values correspond to polarization kernel sizes of  $(30, 13, 8, 6)$  (in the same order). Here  $\epsilon = \sigma = 0.05$ ,  $P_X$  is uniform,  $Y = BEC_a(X)$ , and  $Z = BEC_b(Y)$ , where  $a = 0.1$ , and  $b = 0.67$ .

## Finite-length Analysis of One-Way Two-party SKA

- Proposed two new concrete protocol constructions for one-way SKA
- Proved multiple finite-length lower bound on maximum key length

$$S^{\rightarrow} \geq nC_{WSK} - \mathcal{O}(\sqrt{n})$$

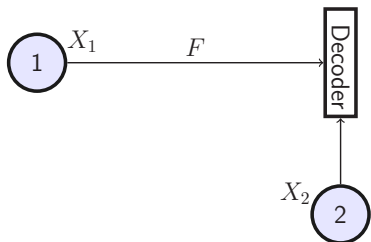
- Proved a finite-length upper bound through new spectral entropies
- Proved WSK capacity for the general case when variables are INID

Poostindouz and Safavi-Naini, "Second-Order Asymptotics for One-way Secret Key Agreement," ISIT 2021.

Sharifian, Poostindouz and Safavi-Naini, "A Capacity-achieving One-way Key Agreement with Improved Finite Blocklength Analysis," ISITA 2020

## Multiterminal Results SKA

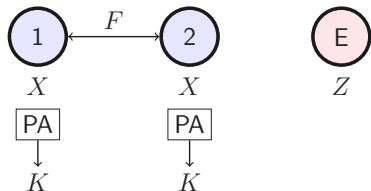
## Source Coding with Side Info



$$\lim_{n \rightarrow \infty} \frac{\text{length}(F)}{n} = R_1$$

$$R_1 \geq H(X_1|X_2)$$

## Privacy Amplification Lemma



$$\lim_{n \rightarrow \infty} \frac{\text{length}(F)}{n} \geq R_{\min}$$

$$r^{\text{key}} \leq H(X|Z) - R_{\min}$$

# SKA by Omniscience (When $Z$ is known)

1

$X_1$

2

$X_2$

E

$Z$

# SKA by Omniscience (When $Z$ is known)

1

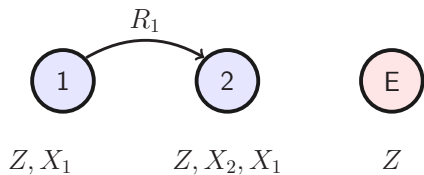
$Z, X_1$

2

$Z, X_2$

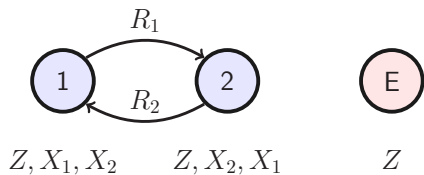
E

$Z$



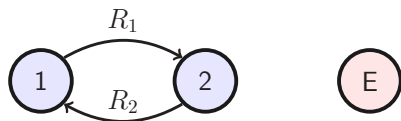
$$R_1 \geq H(X_1|X_2Z)$$





$$R_1 \geq H(X_1|X_2Z)$$

$$R_2 \geq H(X_2|X_1Z)$$

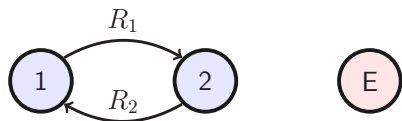

 $Z, X_1, X_2$ 
 $Z, X_2, X_1$ 
 $Z$ 

$$R_1 \geq H(X_1|X_2Z)$$

$$R_2 \geq H(X_2|X_1Z)$$

$$\lim_{n \rightarrow \infty} \frac{\text{length}(F)}{n} \geq R_{\min}$$

$$R_{\min} = H(X_1|X_2Z) + H(X_2|X_1Z)$$


 $Z, X_1, X_2$ 
 $Z, X_2, X_1$ 
 $Z$ 

Ext

 $\downarrow$   
 $K$ 

Ext

 $\downarrow$   
 $K$ 

$$R_1 \geq H(X_1|X_2Z)$$

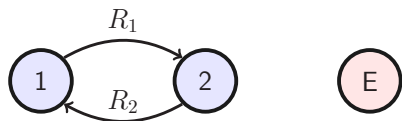
$$R_2 \geq H(X_2|X_1Z)$$

$$\lim_{n \rightarrow \infty} \frac{\text{length}(F)}{n} \geq R_{\min}$$

$$R_{\min} = H(X_1|X_2Z) + H(X_2|X_1Z)$$

Common randomness

$$CR = (X_1, X_2, Z)$$


 $Z, X_1, X_2$ 
 $Z, X_2, X_1$ 
 $Z$ 

Ext

 $\downarrow$   
 $K$ 

Ext

 $\downarrow$   
 $K$ 

$$R_1 \geq H(X_1|X_2Z)$$

$$R_2 \geq H(X_2|X_1Z)$$

$$\lim_{n \rightarrow \infty} \frac{\text{length}(F)}{n} \geq R_{\min}$$

$$R_{\min} = H(X_1|X_2Z) + H(X_2|X_1Z)$$

Common randomness

$$CR = (X_1, X_2, Z)$$

By PAL, we have

$$r^{\text{key}} \leq H(X_1, X_2|Z) - R_{\min}$$

Thus

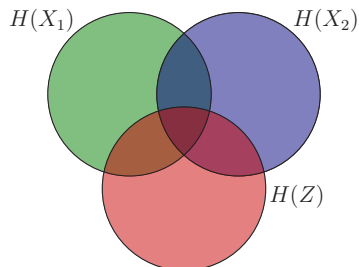
$$r^{\text{key}} = H(X_1, X_2|Z) - H(X_1|X_2Z) - H(X_2|X_1Z)$$

is an achievable key rate.

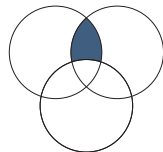
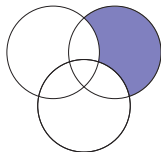
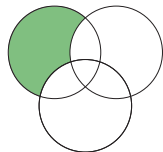
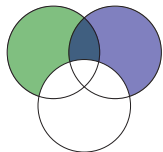
When  $Z$  is known WSK capacity is called the PK capacity.

$$C_{PK} = H(X_1, X_2|Z) - H(X_1|X_2Z) - H(X_2|X_1Z)$$

Is there a simpler expression?



$$C_{PK} = ?$$



$$H(X_1, X_2|Z) - H(X_1|X_2Z) - H(X_2|X_1Z) = I(X_1; X_2|Z)$$

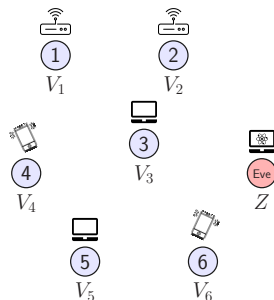
Thus

$$C_{PK} = I(X_1; X_2|Z)$$

**Theorem [CN04]:** For a given multiterminal source model  $P_{X_{\mathcal{M}}Z}$ , the PK capacity is

$$C_{PK} = H(X_{\mathcal{M}}|Z) - R_{CO}(X_{\mathcal{M}}|Z)$$

where  $R_{CO}(X_{\mathcal{M}}|Z)$  is the minimum asymptotic public communication sum rate that is required for terminals in subset  $\mathcal{A}$  to achieve omniscience (learn  $X_{\mathcal{M}}$  in addition to the common variable  $Z$ ).



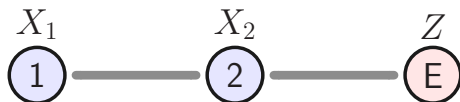
**Lemma [CN04]:**

$$C_{WSK} \leq C_{PK}$$

[CN04] Csiszár and Narayan, IEEE Trans. Inf. Theory, vol. 50, no. 12, pp. 3047–3061, Dec. 2004.

**Recall:** If  $X_1 - X_2 - Z$ , then

$$C_{WSK} = C_{PK} = I(X_1, X_2|Z)$$

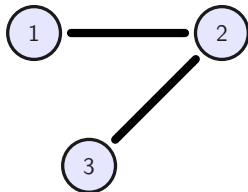


**Can we extend this model to a multiterminal version?**



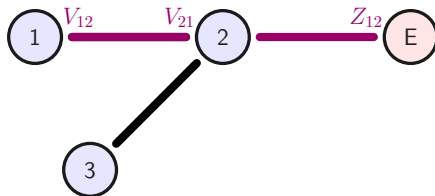
**Example:**

$$\mathcal{M} = \{1, 2, 3\} \quad \mathcal{E} = \{e_{12}, e_{23}\} \quad G = (\mathcal{M}, \mathcal{E})$$



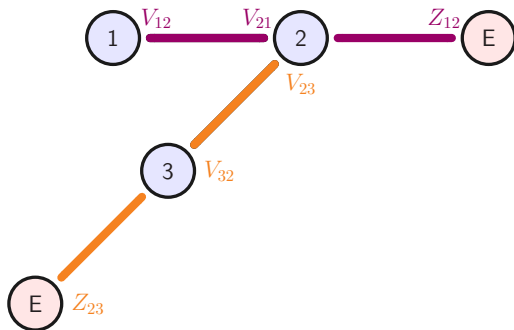
## Example:

$$\mathcal{M} = \{1, 2, 3\} \quad \mathcal{E} = \{e_{12}, e_{23}\} \quad G = (\mathcal{M}, \mathcal{E})$$



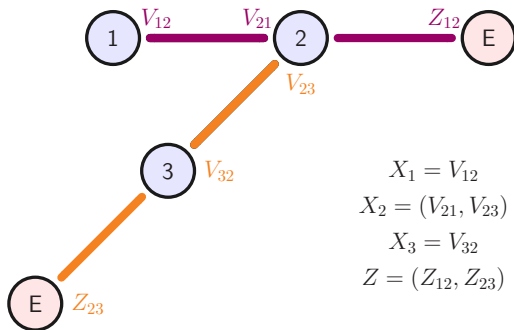
### Example:

$$\mathcal{M} = \{1, 2, 3\} \quad \mathcal{E} = \{e_{12}, e_{23}\} \quad G = (\mathcal{M}, \mathcal{E})$$



### Example:

$$\mathcal{M} = \{1, 2, 3\} \quad \mathcal{E} = \{e_{12}, e_{23}\} \quad G = (\mathcal{M}, \mathcal{E})$$



$$X_1 = V_{12}$$

$$X_2 = (V_{21}, V_{23})$$

$$X_3 = V_{32}$$

$$Z = (Z_{12}, Z_{23})$$

## Wiretapped Tree over a Pairwise Independent Network (PIN)

- Terminal set  $\mathcal{M} = \{1, 2, \dots, m\}$
- Tree  $G = (\mathcal{M}, \mathcal{E})$
- $\{(V_{ij}, V_{ji}, Z_{ij})\}_{i < j}$  are mutually independent
- For all  $i < j$ , Markov relation  $V_{ij} - V_{ji} - Z_{ij}$  holds

**Theorem [PS21]:** For any wiretapped Tree-PIN,

$$C_{WSK} = \min_{i,j} I(V_{ij}; V_{ji} | Z_{ij}).$$

**Proof (Sketch):**

We show that

$$R_{CO}(X_{\mathcal{M}}|Z) = H(X_{\mathcal{M}}|Z) - \min_{i,j} I(V_{ij}; V_{ji}|Z_{ij}).$$

Then, by

$$C_{WSK}(X_{\mathcal{M}}|Z) \leq C_{PK}(X_{\mathcal{M}}|Z) = H(X_{\mathcal{M}}|Z) - R_{CO}(X_{\mathcal{M}}|Z),$$

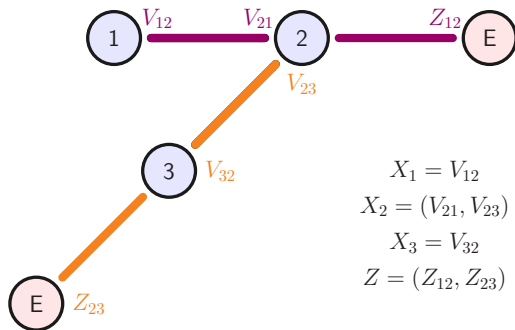
we have

$$C_{WSK}(X_{\mathcal{M}}|Z) \leq \min_{i,j} I(V_{ij}; V_{ji}|Z_{ij}).$$

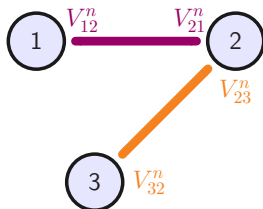
Finally, we show that the above rate is an achievable key rate.

## Example:

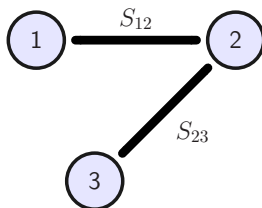
$$\mathcal{M} = \{1, 2, 3\} \quad \mathcal{E} = \{e_{12}, e_{23}\} \quad G = (\mathcal{M}, \mathcal{E})$$



$$\begin{aligned} X_1 &= V_{12} \\ X_2 &= (V_{21}, V_{23}) \\ X_3 &= V_{32} \\ Z &= (Z_{12}, Z_{23}) \end{aligned}$$

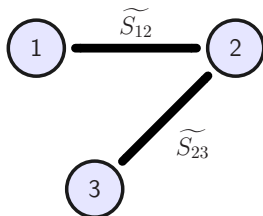






## Steps:

- 1 Pairwise key agreement  $S_{12}, S_{12}$

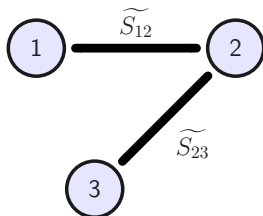


$$\widetilde{S}_{ij} = S_{ij|\lambda}$$

## Steps:

- 1) Pairwise key agreement  $S_{12}, S_{12}$
- 2) Cutting pairwise keys to the minimum length

$$\lambda = \min\{\text{length}(S_{ij})\} \approx n \times \min I(V_{ij}; V_{ji} | Z_{ij})$$



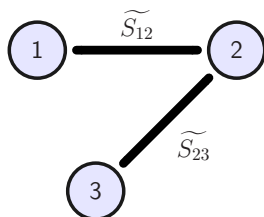
$$F_2 = \widetilde{S}_{12} \oplus \widetilde{S}_{23}$$

## Steps:

- ① Pairwise key agreement  $S_{12}, S_{12}$
- ② Cutting pairwise keys to the minimum length

$$\lambda = \min\{\text{length}(S_{ij})\} \approx n \times \min I(V_{ij}; V_{ji} | Z_{ij})$$

- ③ XOR propagation  $F_2 = \widetilde{S}_{12} \oplus \widetilde{S}_{23}$



$$F_2 = \widetilde{S}_{12} \oplus \widetilde{S}_{23}$$

## Steps:

- ① Pairwise key agreement  $S_{12}, S_{12}$
- ② Cutting pairwise keys to the minimum length

$$\lambda = \min\{\text{length}(S_{ij})\} \approx n \times \min I(V_{ij}; V_{ji} | Z_{ij})$$

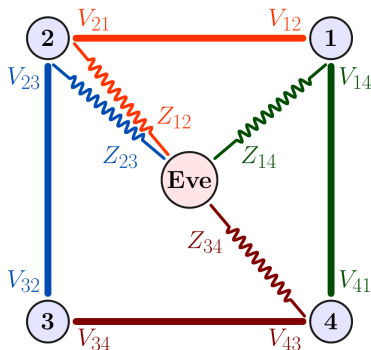
- ③ XOR propagation  $F_2 = \widetilde{S}_{12} \oplus \widetilde{S}_{23}$
- ④ Key calculation  $K = \widetilde{S}_{12} = \widetilde{S}_{23} \oplus F_2$

## Wiretapped Pairwise Independent Network (PIN)

- Graphs (with loops)  $G = (\mathcal{M}, \mathcal{E})$
- $\{(V_{ij}, V_{ji}, Z_{ij})\}_{i < j}$  are mutually independent
- For all  $i < j$ , Markov relation  $V_{ij} - V_{ji} - Z_{ij}$  holds

**Theorem [PS21]:** For any wiretapped PIN, the WSK capacity is

$$C_{WSK} = \min_{\mathcal{P}} \left( \frac{1}{|\mathcal{P}| - 1} \right) \left[ \sum_{\substack{i < j \text{ s.t.} \\ (i,j) \text{ crosses } \mathcal{P}}} I(V_{ij}; V_{ji} | Z_{ij}) \right]$$

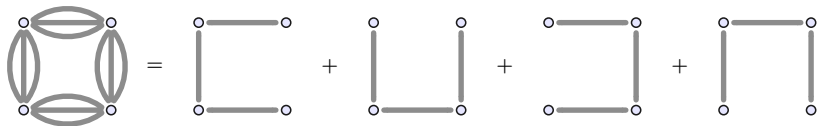


If  $I(V_{ij}; V_{ji} | Z_{ij}) = \frac{1}{2}$  for all  $i, j$  then, for  $\mathcal{P} = \{\{1\}, \{2\}, \{3\}, \{4\}\}$

$$C_{WSK} \leq \frac{\frac{1}{2} + \frac{1}{2} + \frac{1}{2} + \frac{1}{2}}{4 - 1} = \frac{2}{3}$$

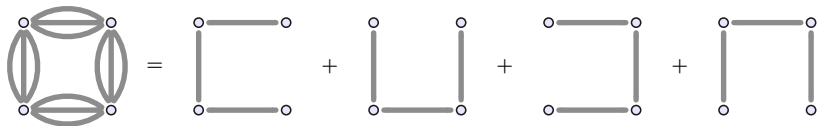
$$n = 6\nu \quad \text{and} \quad \lambda = \text{length}(S_{ij}) = 3\nu - \epsilon$$

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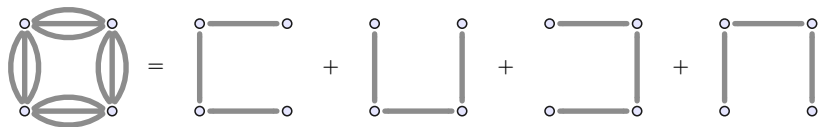


$$n = 6\nu \quad \text{and} \quad \lambda = \text{length}(S_{ij}) = 3\nu - \epsilon$$



$$\text{length}(K) = 4\nu - \mathcal{O}(\epsilon)$$

$$n = 6\nu \quad \text{and} \quad \lambda = \text{length}(S_{ij}) = 3\nu - \epsilon$$



$$\text{length}(K) = 4\nu - \mathcal{O}(\epsilon)$$

$$\begin{aligned} r^{key} &= \lim_{n \rightarrow \infty} \frac{\text{length}(K)}{n} \\ &= \lim_{\nu \rightarrow \infty} \frac{4\nu - \mathcal{O}(\epsilon)}{6\nu} = \frac{2}{3} = C_{WSK} \end{aligned}$$

## SKA in Wiretapped Pairwise Independent Networks

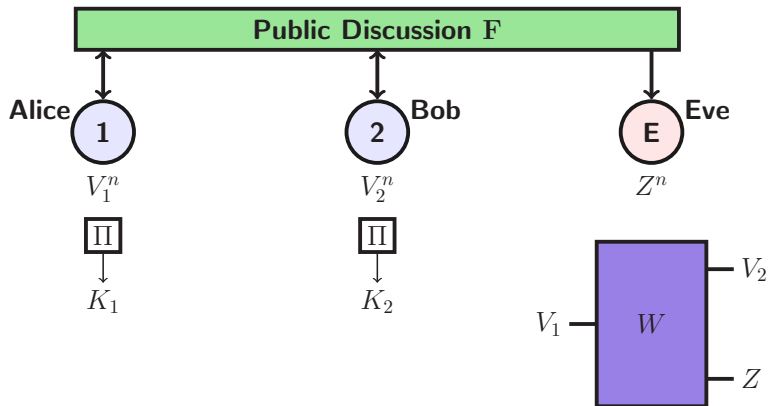
- Proved WSK capacity of wiretapped Tree-PIN
- Proposed an optimum capacity achieving SKA protocol
- Proved WSK capacity of wiretapped PIN when  $\mathcal{A} = \mathcal{M}$
- Proposed an SKA protocol using Steiner Tree Packing
- Proved WSK capacity of multiple generalizations (e.g.,  $\exists$  a non-cooperating compromised terminal)

Poostindouz and Safavi-Naini, "Wiretap Secret Key Capacity of Tree-PIN," ISIT 2019.

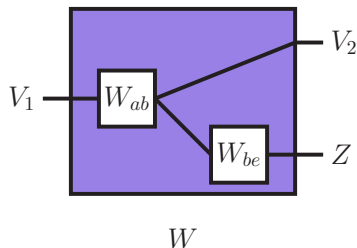
Poostindouz and Safavi-Naini, "Secret Key Agreement in Wiretapped Tree-PIN," arXiv:1903.06134.

# Part III

## SKA in Channel Model



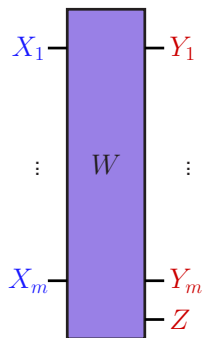
Alice can send adaptive channel input symbols.



**Theorem [AC93]:** When the channel  $W$  is degraded

$$C_{WSK}(W) = \max_{P_{V_1}} H(V_1|Z) - H(V_1|V_2).$$

Moreover, this capacity can be achieved without adaptive inputs.



$$W = P_{ZY_M|X_M}$$

$$V_1 = (X_1, Y_1)$$



$$V_2 = (X_2, Y_2)$$



$$V_3 = (X_3, Y_3)$$



$$V_4 = (X_4, Y_4)$$



$$V_5 = (X_5, Y_5)$$

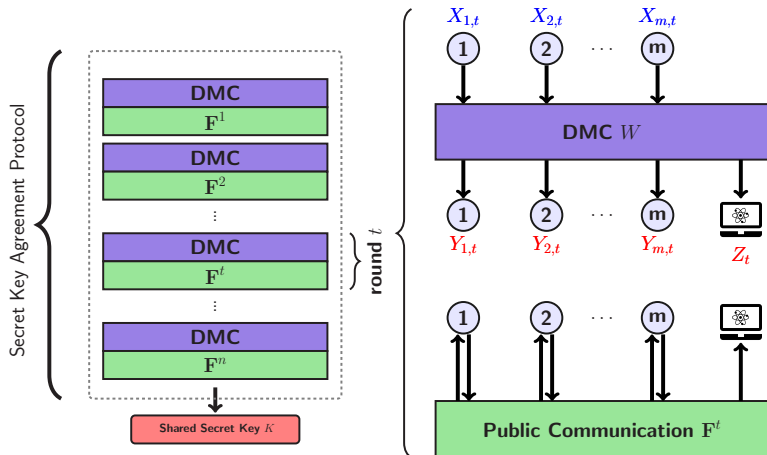


$$V_6 = (X_6, Y_6)$$



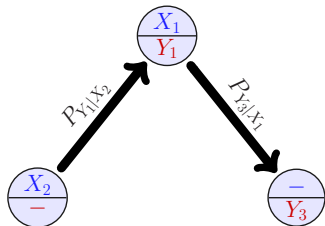
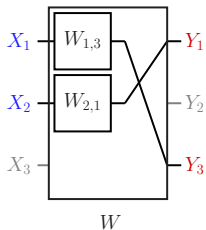
Poostindouz and Safavi-Naini, "A channel model of transceivers for multiterminal secret key agreement," ISITA 2020.

# The SKA Protocol In Channel Model





## A simple example (non-wiretapped)



$$\begin{aligned} W &= P_{Y_{\mathcal{M}}|X_{\mathcal{M}}} \\ &= P_{Y_1|X_2} \cdot P_{Y_3|X_1} \end{aligned}$$

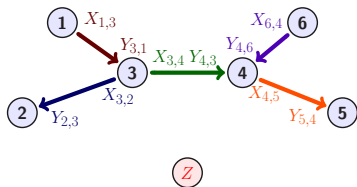
The model can be represented by a directed graph  $G = (\mathcal{M}, \mathcal{E})$ , where  $\mathcal{M} = \{1, 2, 3\}$  and  $\mathcal{E} = \{e_{2,1}, e_{1,3}\}$ .

## Polytree-PIN

Let  $W = P_{ZY_{\mathcal{M}}|X_{\mathcal{M}}} = P_{Y_{\mathcal{M}}|X_{\mathcal{M}}} P_{Z|X_{\mathcal{M}}Y_{\mathcal{M}}}$

There exists a polytree  $G = (\mathcal{M}, \mathcal{E})$  that defines  $P_{Y_{\mathcal{M}}|X_{\mathcal{M}}}$  as a pairwise independent network (PIN) of point-to-point channels:

$$\begin{aligned} W &= P_{Y_{\mathcal{M}}|X_{\mathcal{M}}} P_{Z|X_{\mathcal{M}}Y_{\mathcal{M}}} \\ &= \left( \prod_{e_{ij} \in \mathcal{E}} P_{Y_{ij}|X_{ji}} \right) P_{Z|X_{\mathcal{M}}Y_{\mathcal{M}}} \end{aligned}$$



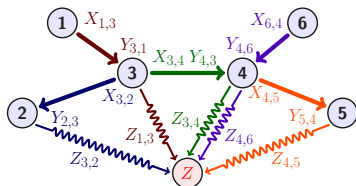
## Polytree-PIN with independent leakage

$$W = P_{ZY_{\mathcal{M}}|X_{\mathcal{M}}} = P_{Y_{\mathcal{M}}|X_{\mathcal{M}}} P_{Z|Y_{\mathcal{M}}}$$

$$Z = (Z_{ij} | e_{ij} \in \mathcal{E})$$

$X_{ij} - Y_{ji} - Z_{ij}$  holds for all  $e_{ij} \in \mathcal{E}$

$$\begin{aligned} W &= P_{Y_{\mathcal{M}}|X_{\mathcal{M}}} P_{Z|Y_{\mathcal{M}}} \\ &= \prod_{e_{ij} \in \mathcal{E}} P_{Y_{ij}|X_{ji}} P_{Z_{ij}|Y_{ji}} \end{aligned}$$

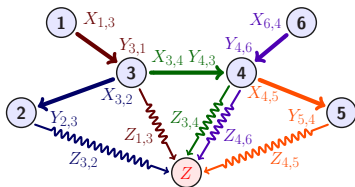


## Polytree-PIN with independent leakage

**Theorem:** WSK Capacity of Polytree-PIN with independent leakage is given by

$$C_{WSK}^A(W) = \max_{P_{X,\mathcal{M}}} \min_{\substack{i,j \in \mathcal{M} \\ e_{ij} \in \mathcal{E}_{\mathcal{A}}}} I(X_{ij}; Y_{ji} | Z_{ij}).$$

Moreover, this capacity can be achieved without adaptive inputs.



## Multiterminal SKA in Wiretapped Network of Transceivers

- Introduced the general multiterminal channel model of Transceivers
- Proved Upper and Lower bounds on the SK, PK, and WSK capacities
- Proved the nonadaptive SK capacity of general Transceivers
- Proved the WSK capacity of Polytree-PIN Model

Poostindouz and Safavi-Naini, "Secret Key Capacity of Wiretapped Polytree-PIN," ITW 2021.

Poostindouz and Safavi-Naini, "Multiterminal Secret Key Agreement in Wiretapped Transceiver Channel Model," to be submitted to Entropy.

Poostindouz and Safavi-Naini, "A channel model of transceivers for multiterminal secret key agreement," ISITA 2020.

**Thanks for your attention!**